

Retail To Professional Client Opt Up Form

Certain investors (typically Local Authorities and Charities) categorised as Retail Clients under the FCA Rules may opt up to Professional Client status (see COBS 3.5 in the FCA Handbook).

If you would like to opt up, please complete the details below and send this form by post or e-mail to:

Client Management Team

Aegon Asset Management UK plc
3 Lochside Crescent
Edinburgh
EH12 9SA

Email: institutional@aegonam.com

Please note that, by opting up to Professional Client status, you will receive less protection than if you were a Retail Client.

Name of Investor/Entity Opting up to Professional Client Status:

Signature:

Full Name:

Title:

Date:

___ / ___ / ___