

# Individual Self-Certification Form

Tax Regulations<sup>1</sup> require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with HM Revenue and Customs who may in turn share this information with other relevant tax authorities. If you have any questions about this form or your tax residency, please refer to the OECD CRS Portal or contact your tax adviser. Should any information provided change in the future, please ensure you advise us of the changes promptly. The OECD CRS Information Portal can be accessed at [www.oecd.org/tax/automatic-exchange/](http://www.oecd.org/tax/automatic-exchange/).

Please note that where there are joint holders each investor is required to complete a separate Self-Certification form.

## FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax Purposes

Please tick either a **or** b and complete as appropriate.

a. I confirm that **I am** a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows: \_\_\_\_\_ ☐

**OR**

b. I confirm that **I am not** a U.S. citizen or resident in the U.S. for tax purposes ☐

## CRS Declaration of Tax residency

Please indicate all countries in which you are resident for tax purposes and the associated Tax Identification Number(s) in the table below.

Country/Countries of Tax Residency	Tax Identification Number

**Note:** Provision of a Tax Identification Number is required unless you are tax resident in a jurisdiction that does not issue a Tax Identification Number

## Declaration

I declare that the information provided on this form is to the best of my knowledge and belief, accurate and complete. I acknowledge that the information contained in this form and information regarding the account holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the account holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information. I agree to notify Aegon Asset Management UK plc immediately and provide an updated self-certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Print name

\_\_\_\_\_

Permanent Address

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Town or City of Birth

\_\_\_\_\_

Country of Birth

\_\_\_\_\_

Date of birth

\_\_\_\_/\_\_\_\_/\_\_\_\_

Signature

\_\_\_\_\_

Date

\_\_\_\_/\_\_\_\_/\_\_\_\_

<sup>1</sup> The term "Tax Regulations" refers to regulations created to enable automatic exchange of information and include the Foreign Account Tax Compliance Act (FATCA)<sup>2</sup> and the OECD Common Reporting Standard<sup>3</sup> for Automatic Exchange of Financial Account Information.

<sup>2</sup> FACTA regulations in sections 1471 to 1474 of the US Internal Revenue Code and the Treasury regulations and official guidance issued thereunder, as amended from time to time. FATCA regulations have been adopted in the UK by The International Tax Compliance Regulations 2015.

<sup>3</sup> The OECD Common Reporting Standard (CRS) which has been adopted in the UK by The International Tax Compliance Regulations 2015.

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