

General Investment Account Declaration Document

Purpose

This General Investment Account Declaration Document forms part of the application documentation for an investment in shares of the Aegon Asset Management UK ICVC fund range. This Document is relevant only for digital applications made using our on-line portal located at <https://retail.citi.ziloglobal.com>.

Your agreement to the terms of this Declaration Document is confirmed by clicking the “Agree” button on our on-line portal where prompted.

Declaration

Each time you apply for any investment in shares of the Aegon Asset Management UK ICVC fund range you confirm the following:

1. I/We confirm that I/we have read the following documentation which is available for download from both www.aegonam.com and via the relevant link on the on-line portal located at <https://retail.citi.ziloglobal.com>:
 - a) an up-to-date Key Investor Information Document (KIID) for the share class of the fund(s) in which I am investing;
 - b) an up-to-date version of the Prospectus for Aegon Asset Management UK ICVC;
 - c) an up-to-date Supplementary Information Document (SID).
2. I/we agree that the investment contract is based on the then current terms of the Prospectus for Aegon Asset Management UK ICVC.
3. I/we acknowledge that Aegon Asset Management UK Plc and other Aegon Asset Management entities will obtain, use, and store my/our personal information as set out in the Data Protection section below and the Privacy Statement available for download from www.aegonam.com and via the relevant link on the on-line portal located at <https://retail.citi.ziloglobal.com>.
4. I/we will provide any required documentation to verify my/our bank or building society details so as to receive redemption proceeds, and income payments where applicable, directly into my/our bank or building society account.
5. I/we acknowledge Tax Regulations¹ require Aegon Asset Management UK Plc to obtain information about each investor's tax residency and that in some circumstances (including if I/we do not provide a valid self-certification) Aegon Asset Management UK Plc is required to share information about my/our account(s) with HMRC who may in turn share this information with tax authorities in other jurisdictions in which I/we may be tax resident where those countries (or tax authorities in those countries) have entered into agreements to exchange financial account information. I/we agree to provide any tax residency information requested by Aegon Asset Management UK plc as part of my/our application process and to immediately notify Aegon Asset Management UK plc if that information changes in the future.

¹ “Tax regulations” are those provisions of the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (the CRS) which are adopted into UK law. FATCA and the CRS facilitate automatic exchange of information between tax authorities in participating countries.

Data Protection

In this section the following terms have the following meanings:

1. **Data Protection Legislation** means all laws relating to data protection, the processing of personal data, privacy and/or electronic communications in force from time to time in the UK, including the UK GDPR and the Data Protection Act 2018.
2. **UK GDPR** has the meaning set out in section 3 of the Data Protection Act 2018.
3. **Personal Data** has the meaning set out in UK GDPR and includes any data relating to a living individual who can be identified directly from that data or indirectly in conjunction with other information.

Aegon Asset Management UK plc may have some or all of the following types of Personal Data in relation to investors and prospective investors; name, address/other contact details (telephone, email address), data/ place of birth, gender, national insurance number, bank details, photographic ID, proofs of address (usually utility bills) as furnished by investors during the application process or to keep that information up to date. Aegon Asset Management UK plc may also obtain further Personal Data on those individuals by way of PEP (Politically Exposed Person) checks, sanctions checks, negative news checks and screening checks. Aegon Asset Management UK plc is obliged to verify the Personal Data and carry out ongoing monitoring.

In the course of business, Aegon Asset Management UK plc will collect, record, store, adapt, transfer and otherwise process Personal Data relating to investors and prospective investors. Aegon Asset Management UK plc is a Data Controller within the meaning of Data Protection Legislation and will hold any Personal Data provided by or in respect of investors in accordance with Data Protection Legislation.

Aegon Asset Management UK plc and/or any of its delegates, service providers, the other companies within Aegon Asset Management and the UK based Aegon companies may process any investor's or prospective investor's Personal Data (including recording calls) for any one or more of the following purposes and on the following legal bases:

- to operate the investment, including managing and administering an investor's investment in the relevant fund on an on-going basis which enables Aegon Asset Management UK plc to satisfy its contractual duties and obligations to the investor;
- to comply with applicable legal, tax or regulatory obligations on Aegon Asset Management UK plc or its appointed suppliers, for example, under the Companies Act and anti-money laundering and counter-terrorism and tax legislation and fraud prevention; or
- for any other legitimate business interests of Aegon Asset Management UK plc or a third party to whom such Personal Data is disclosed, where such interests are not overridden by the interests of the investor, including for statistical analysis, market research purposes, to perform financial and/ or regulatory reporting, and to market additional units of the funds or other investment opportunities from Aegon Asset Management group companies or UK based Aegon companies to the investor. Where the legitimate business interests of Aegon Asset Management UK plc or a third party to whom such Personal Data is disclosed is the legal basis for the processing of investors' Personal Data, a balancing test is carried out and the processing only takes place where such legitimate business interests are not overridden by the risks posed to the investor's interests, fundamental rights and freedoms. Where an investor is sent marketing information, the investor has a right not to receive such information, and can request not receive such information by informing Aegon Asset Management UK plc at aamukdataprotection@aegonam.com

Aegon Asset Management UK plc and/or any of its service providers may disclose or transfer any investor's or prospective investor's Personal Data to delegates and agents and to third parties including advisers, regulatory bodies, taxation authorities, auditors and technology providers for the above purposes. Aegon Asset Management UK plc will not keep Personal Data for longer than necessary for the purpose(s) for which it was collected in accordance with any statutory obligations to retain information, including anti-money laundering, counter-terrorism and tax legislation. Aegon Asset Management UK plc will take reasonable steps to destroy or erase the data from its systems when they are no longer required. Aegon Asset Management UK plc and none of its delegates and service providers will transfer any investor's or prospective investor's Personal Data to a country outside of the EEA unless it has been determined that that country ensures an adequate level of data protection, or Aegon Asset Management UK plc and/or its delegates and service providers have put in place appropriate safeguards, in each case in accordance with Data Protection Legislation.

Where specific processing is based on an investor's consent, that investor has the right to withdraw it at any time. Investors have: the right to request access to their Personal Data kept by Aegon Asset Management UK plc; the right to rectification or erasure of their data; and the right to restrict or object to processing of their data and to data portability; subject to any restrictions imposed by Data Protection Legislation and any statutory obligations to retain information including anti money laundering, counter-terrorism and tax legislation.

Where processing is carried out on behalf of Aegon Asset Management UK plc, Aegon Asset Management UK plc shall engage a data processor, within the meaning of Data Protection Legislation, which implements appropriate technical and organisational security measures to comply with the requirements of Data Protection Legislation and ensures the protection of the rights of investors. Aegon Asset Management UK plc will enter into a written contract with the data processor which will set out the data processor's specific mandatory obligations laid down in Data Protection Legislation.

As part of Aegon Asset Management UK plc's business and ongoing monitoring, Aegon Asset Management UK plc and its appointed processors may from time to time carry out automated decision-making in relation to investors, including, for example, profiling of investors in the context of anti-money laundering reviews, and this may result in an investor being identified to the revenue authorities, law enforcement authorities and to other entities where required by law, and Aegon Asset Management UK plc terminating its relationship with the investor.

Investors are required to provide their Personal Data for statutory and contractual purposes. Failure to provide the required Personal Data will result in Aegon Asset Management UK plc being unable to permit, process, or release the investor's investment in the funds and this may result in Aegon Asset Management UK plc terminating its relationship with the investor. Investors have a right to lodge a complaint with the Data Protection Authority if they are unhappy with how Aegon Asset Management UK plc is handling their Personal Data.

For access to Aegon Asset Management UK Privacy Statement, please visit the following:
www.aegonam.com/privacy-statement

Aegon Asset Management UK plc (Company No. SC113505) is registered in Scotland at 3 Lochside Crescent, Edinburgh EH12 9SA and is authorised and regulated by the Financial Conduct Authority. It is the authorised corporate director of Aegon Asset Management UK ICVC ("AAMUK ICVC"), registered in Scotland, registered no. SC1000009 at 3 Lochside Crescent, Edinburgh EH12 9SA), Aegon Asset Management UK Investment Portfolios ICVC ("AAMUKIP ICVC"), registered in England, registered no. IC000988 at The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB), and authorised fund manager for Aegon Asset Management UK Unit Trust, an authorised unit trust. AAMUK ICVC and AAMUKIP ICVC are investment companies with variable capital.