

# Aegon Asset Management UK Investment Portfolios ICVC and Aegon Asset Management UK Unit Trust Supplementary Information Document

# Contents

<b>1. What is the Supplementary Information Document?</b>	<b>4</b>
<b>2. Cancellation rights</b>	<b>4</b>
<b>To cancel your investment</b>	<b>4</b>
<b>3. Complaints</b>	<b>5</b>
<b>4. Compensation</b>	<b>5</b>
<b>5. Payments and Charges</b>	<b>5</b>
<b>6. Client Accounts</b>	<b>6</b>
<b>7. Correspondence</b>	<b>6</b>
<b>8. Transfer of Shares</b>	<b>6</b>
<b>9. Shareholder's responsibility regarding payment declarations</b>	<b>7</b>
<b>10. Bodies Corporate/Nominee Accounts</b>	<b>7</b>
<b>11. FATCA</b>	<b>7</b>
<b>12. Income distributions</b>	<b>7</b>
<b>Contact details</b>	<b>8</b>

This document is designed to provide, in a clear and concise way, important information that you will need to make your investment decision.

This document should be read in conjunction with an up-to-date Non-UCITS retail scheme Key Investor Information Document for the share class of each fund in which you wish to invest and a copy of the prospectus, which is available at **[www.aegonam.com](http://www.aegonam.com)**.

You should read all of these documents carefully so that you understand and are comfortable with what you are buying, and then keep them safe for future reference. You should always ensure that you have up-to-date copies of both documents before you invest.

# 1. What is the Supplementary Information Document?

The Supplementary Information Document ('SID') contains practical and useful information about investing with Aegon Asset Management UK Investment Portfolios ICVC and Aegon Asset Management UK Unit Trust.

The SID is relevant to the fund(s) you have chosen to invest in and should be read together with the Non-UCITS retail scheme Key Investor Information Document.

For further information about the funds themselves, please refer to the Non-UCITS retail scheme Key Investor Information Documents or prospectus which can be obtained from our website.

All information contained in this SID is accurate at the time of publication. However we recommend that you visit our website at **[www.aegonam.com](http://www.aegonam.com)** for the very latest information.

Please note that all sub-funds of Aegon Asset Management UK Investment Portfolios ICVC and Aegon Asset Management UK Unit Trust are in the process of being terminated and are no longer available for new investment.

## 2. Cancellation rights

You may have the right to cancel your investment in the following circumstances. You will have 14 days (the 'Cancellation Period') from the date of receipt of the later of either:

- a. Non-UCITS retail scheme Key Investor Information Document
- b. Your contract note (or equivalent electronic confirmation) and notice of right to cancel or cancellation form (included with your contract note)

### To cancel your investment

If you wish to cancel your investment but fail to exercise your right to cancel within the Cancellation Period we have the right not to accept your notice of cancellation. Where we do accept your notice of cancellation you will only receive back the market value of your investment at the date your notice is received. For subscriptions under the Regular Savings Plan, only the initial subscription attracts the cancellation right. If you decide to vary the level of subscriptions under the Regular Savings Plan, no new right to cancel will apply.

The notice informing us of your intention to cancel should be sent by post to Aegon Asset Management UK plc, 3 Lochside Crescent, Edinburgh, EH12 9SA.

### 3. Complaints

If you have a complaint about any aspect of the service you have received or to request a copy of our Complaints Handling Procedures, please contact us via our online Portal ( a link for which is located on our website at **www.aegonam.com**) or by post using the contact details located on our website at **www.aegonam.com** or as provided separately to you.

If your complaint is not dealt with to your satisfaction, you can refer it to:

The Financial Ombudsman Service, Exchange Tower, London E14 9SR Telephone: 0300 123 9123

**www.financial-ombudsman.org.uk** and **complaint.info@financial-ombudsman.org.uk**

Making your complaint will not prejudice your right to take legal proceedings.

### 4. Compensation

You may be able to get compensation from the Financial Services Compensation Scheme (FSCS).

The scheme will generally not cover 100% of your loss. The maximum level of compensation you can receive from the Scheme for a claim against an investment firm is £85,000 per eligible person, per firm.

Under the FCA client categorisation rules you will be categorised as a "Retail Client" unless we otherwise agree to a different categorisation with you.

Further information on compensation arrangements is available on request from Aegon Asset Management UK plc and from the Financial Conduct Authority and the FSCS:

**Financial Services Compensation Scheme, 10th Floor, Beaufort House, 15 St Botolph Street, London EC3A 7QU or on 0800 678 1100 or 0207 741 4100.**

Unless expressly agreed in writing between us otherwise, we will treat you as a retail client under FCA rules. Being a retail client does not necessarily mean you will be eligible to refer any complaints about us to the Financial Ombudsman Service or be entitled to compensation under the FSCS if Aegon Asset Management UK plc cannot meet its obligations to you.

### 5. Payments and Charges

As part of our commitment to reduce the risk of financial crime and unless a valid coverall form is in place, prior to releasing any redemption proceeds into your nominated bank account, we may require a copy of either; (i) a pay-in slip attached to the account or; (ii) a letter (or statement) from your bank dated within the last three months, which show the account details as well as your name.

## 6. Client Accounts

All monies and any other cash which constitutes client money in accordance with the Rules (except in so far as the Shareholder agrees to the contrary), will be held (by the ACD or its nominee on behalf of the Shareholder) in one or more segregated pooled client money bank accounts with such recognised banks that the ACD may from time to time select. Balances on any such account may include the monies of other individuals holding Shares. In accordance with the Rules, the account will be denominated to make it clear that the money in the account(s) belongs to the Shareholder and not the ACD.

No interest will be paid on any cash held.

If the bank that is holding the Shareholder's money becomes insolvent, the ACD will attempt to recoup the Shareholder's money on the Shareholder's behalf. However, if the bank cannot repay all the persons to whom it owes money, any shortfall may have to be shared proportionally between all its creditors including the Shareholder and other Shareholders of Aegon Asset Management UK Investment Portfolios ICVC or Aegon Asset Management UK Unit Trust.

In this situation, the Shareholder may be eligible to claim under the Financial Services Compensation Scheme (FSCS) (see section called Compensation in this document for further details).

Delivery versus Payment is a procedure the investment industry uses to settle transactions made by their clients and it permits Authorised Fund Managers, such as Aegon Asset Management UK plc, to cease to treat money as client-money while carrying out a 'delivery versus payment' transaction. The exclusion applies to the settlement of a transaction in the shares of a regulated collective investment scheme.

When we receive money from you to invest into one of our funds, we aim to pay the money into the funds as soon as possible on or after the settlement date. However, if we have not paid the money into the funds by the end of the business day following receipt of the money, we will ensure that the money is paid into a client-money bank account until it is paid into the funds.

We follow the same procedure when you redeem some or all of your share holding. When we receive the money from the fund for your redemption we aim to pay this money to you by the end of the business day following our receipt of the money. If we do not pay the money to you by this date, we will ensure it is paid into a client-money bank account until it is paid to you.

## 7. Correspondence

Where possible all notices (which must be in writing) and all other documents and correspondence should be sent by the Shareholder to us via our online Portal (a link for which is located on our website at [www.aegonam.com](http://www.aegonam.com)) unless we have agreed alternative contact arrangements with you. Notices or other documents can also be served at our Head Office address. Any letter, correspondence or document from Aegon Asset Management UK plc sent: (i) by post will be deemed to have been served on the second Business Day following that in which it is posted and service will be sufficiently proved if there is evidence that the envelope containing the letter, correspondence or document was properly addressed, stamped and posted; or (ii) electronically (by e-mail or via our online Portal) will be deemed to have been served on dispatch and service will be sufficiently proved if there is evidence that the letter, correspondence or document was sent to the e-mail address previously provided by you or, where sent via our online Portal, that you were notified at that e-mail address that the letter, correspondence or document had been posted on our online Portal.

## 8. Transfer of Shares

Shares may be transferred to another eligible person upon receipt, by Aegon Asset Management UK plc, of a duly completed Stock Transfer Form, which should be sent via our online Portal (a link for which is located on our website at [www.aegonam.com](http://www.aegonam.com)) unless we have agreed alternative contact arrangements with you. Please note that shares may only be transferred in very limited circumstances as all sub-funds of Aegon Asset Management UK Investment Portfolios ICVC and Aegon Asset Management UK Unit Trust are in the process of being terminated.

## 9. Shareholder's responsibility regarding payment declarations

By investing in a gross share class, you have made or are deemed to have made the declarations in Section 4.3 of the Application Form and to have read Section 9 of the Prospectus (both available at **www.aegonam.com**) and have agreed that you will reimburse Aegon Asset Management UK plc against any loss, expenses or costs including any taxation, withholding interest or penalties due to HM Revenue and Customs, in connection with any error, or fraud on behalf of the Shareholder in making such declaration.

## 10. Bodies Corporate/Nominee Accounts

In order to invest in the Aegon Property Income Fund, Bodies Corporate and Nominees must:

(i) comply with the section of the prospectus headed 'Bodies Corporate and Nominees'; (ii) give the undertakings set out in section 4.2 of the application form; and (iii) inform Aegon Asset Management UK plc immediately of any change which affects such undertakings. Aegon Asset Management UK plc may take such steps as it reasonably deems necessary where Bodies Corporate or Nominee fail to comply with these requirements, including redeeming its holdings in the Aegon Property Income Fund and using the proceeds to purchase Units in the Feeder Funds.

## 11. FATCA and CRS

In order to comply with the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard (CRS), both tax regulations which facilitate automatic exchange of information between tax authorities in participating countries, we require you to fully complete FATCA and CRS self-certification declarations as part of the application process.

The information we receive will be administered in accordance with all applicable data protection laws and regulations. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with HMRC who may in turn share the information with other relevant tax authorities.

If you have any questions about your tax residency, please contact your tax advisor.

## 12. Income distributions

Where investors are entitled to income distributions these will be paid directly to their bank account.

## Contact details

Unless we have agreed separate contact arrangements with you, all contact should be made via our online Portal (a link for which is located on our website at **www.aegonam.com**). If you do not have access to the Portal and we have not agreed other contact arrangements with you then please use the 'please contact us' link available on the Portal, to contact us for further guidance.

Our Head Office Address is **Aegon Asset Management UK plc, The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB.**

**Aegon Asset Management UK plc (Company No. SC113505) is registered in Scotland at 3 Lochside Crescent, Edinburgh EH12 9SA and is authorised and regulated by the Financial Conduct Authority. It is the authorised corporate director of Aegon Asset Management UK ICVC ("AAMUK ICVC"), registered in Scotland, registered no. SC1000009 at 3 Lochside Crescent, Edinburgh EH12 9SA), Aegon Asset Management UK Investment Portfolios ICVC ("AAMUKIP ICVC"), registered in England, registered no. IC000988 at The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB), and authorised fund manager for Aegon Asset Management UK Unit Trust, an authorised unit trust. AAMUK ICVC and AAMUKIP ICVC are investment companies with variable capital.**