

Please complete this form in blue/black ink and BLOCK CAPITALS

The completed application form should be sent to The Administrator at the following address:

Citi Fund Services Transfer Agency  
1 North Wall Quay  
Dublin 1  
Ireland  
Tel +353 1 622 4493  
Fax +353 1 622 4552  
Email: AegonAMTA@citi.com

**Included in this this application is a form which we require you to complete to self-certify your residency for tax purposes. This relates to tax regulations created to enable automatic exchange of information between tax authorities in participating countries to improve international tax compliance. The information we receive will be administered in accordance with the Data Protection Acts.**

**Please note that this application will not be accepted and Shares will not be issued unless this application form has been completed in full and all information and relevant verification documentation received and verified by Aegon Asset Management UK plc.**

When the relevant dealing section has been completed, valid application forms approved by 11:00 am on a Dealing Day will result in a deal being placed for the valuation point on that day. Applications approved after 11:00 am will result in a deal being placed for the next valuation period

If you are an existing client of the Company, please provide your Account Number

Before you sign this form: you should read an up-to-date version of the Key Investor Information (KII) for each fund in which you want to invest. Please contact us on +353 1 622 4493 if you have not received up-to-date versions of these documents. You can also read or download these documents by visiting our website [www.aegonam.com](http://www.aegonam.com). You can download a copy of 'Documentation required from you for Anti-Money Laundering obligations' at [www.aegonam.com/aml.pdf](http://www.aegonam.com/aml.pdf).

## 1. Name details

(All shareholders must be over 18 years of age)

### 1st Holder

Title (Mr/Mrs/Miss/Ms/Other)

Surname

Forename(s)

Date of birth (dd/mm/yyyy)

Required for identification purposes (All holders must be 18 years or over)

Place of birth

Country of Issue of Passport/Official ID Card\*

Your Tax Reference Number ("TRN") issued by your country of residence

Shareholder address

Postcode

Telephone

Fax

Email\*

Country of residence for tax purposes

Personal Public Service Number  
(Irish Individuals only)

\*Please note that it is our preference to send trade confirmations, monthly statements and dividend statements by email. If, however, you would prefer to be sent these by post then please tick box ☐

**Company name and address (Corporate investors only)**

Company name

Address

Postcode

Country

Tax ID reference (Irish Corporate only)

MiFID II categorises the following clients as 'per se' Professional Clients:

- credit institutions; investment firms;
- other authorised or regulated financial institutions;
- insurance companies;
- collective investment schemes and their management companies;
- pension funds and their management companies;
- commodity and commodity derivative dealers;
- other institutional investors.
- Large undertakings meeting certain size requirements on a company basis
- National and regional governments, public bodies that manage public debt, central banks and international and supranational institutions.
- Other institutional investors whose main activity is to invest in financial instruments, including entities dedicated to the securitisation of assets or other financing transactions.

Clients not falling within this list are by default retail clients, this includes Local Authorities and Charities.

**If you do not fall within the category of per se professional clients above but would like to opt up to be treating as such, please tick here.** ☐

**Please note, opting up to Professional Client status provides a lower standard of protection than that of a Retail client.**

## 1. Name details (continued)

**Joint Holders (Any future instructions must be authorised by ALL joint holders)**

**Title (Mr/Mrs/Miss/Ms/Other)**

**Surname**

**Forename(s)**

**Date of birth (dd/mm/yyyy)**

Required for identification purposes (All holders must be 18 years or over)

**Your Tax Reference Number ("TRN") issued by your country of residence**

**Title (Mr/Mrs/Miss/Ms/Other)**

**Surname**

**Forename(s)**

**Date of birth (dd/mm/yyyy)**

Required for identification purposes (All holders must be 18 years or over)

**Your Tax Reference Number ("TRN") issued by your country of residence**

**Title (Mr/Mrs/Miss/Ms/Other)**

**Surname**

**Forename(s)**

**Date of birth (dd/mm/yyyy)**

Required for identification purposes (All holders must be 18 years or over)

**Your Tax Reference Number ("TRN") issued by your country of residence**

Proof of identity must be attached for all applicants who do not have an existing relationship with the Distributor (e.g. Certificate of Incorporation & Memorandum & Articles of Association for Corporate; copy of current passport/National ID card incl. signature for Individuals). All should be original or certified by a Solicitor, Financial Adviser, Recognised Financial Institution or a Notary Public (See Section 7).

### **Taxation of Savings Directive**

Council Directive 2003/48/EC ('Savings Directive'), which deals with the Taxation of savings income in the form of interest payments, seeks to ensure that individuals that are resident and "residual entities" that are established in an EU Member State or a "dependent or associated territory" who receive savings income from a paying agent in an EU Member State or a dependent or associated territory are taxed in the EU Member State or dependent or associated territory in which they are resident for tax purposes. "Dependent or associated territory" includes Aruba, Netherlands Antilles, Jersey, Guernsey, Isle of Man, Anguilla, British Virgin Islands, Cayman Islands, Montserrat, Turks and Caicos Islands and may be updated from time to time.

## Ultimate Beneficial Ownership

Ultimate beneficial owners are individuals who directly or indirectly hold ownership or control 25 per cent or more of the shares or voting rights in an entity, or otherwise exercise control over the management of the entity.

Please note this section does not need to be completed by entities regulated for Anti-Money Laundering purposes in accordance with the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010 - 2018. See the list contained at the foot of page 3 of the 'Documentation required from you for Anti-Money Laundering obligations', which is subject to change.

Individual investors only need to complete this form if monies for the investment are coming from a joint bank account and the second person has not signed the subscription document

For all investments, please tick either A **or** B as applicable:

- A. There are **no** ultimate beneficial owners owning 25% or more in the entity making the investment at this time ☐
- B. The ultimate beneficial owner(s) owning 25% or more in the entity making the investment **are** known at this time ☐

**If you have ticked B please provide details of ultimate beneficial owner(s) below.**

Names	Address	Date of birth	Percentage holding

## 2. To be completed by Corporate Investors only

### 2a - Name and address to appear on register (if different to 1)

Name	<hr/>
Address	<hr/> <hr/>
Postcode	<hr/>
Country	<hr/>
Country of residence for tax purposes	<hr/>
Telephone	<hr/>
Fax	<hr/>

### 2b - Correspondence address details (if different to register address above)

Name	<hr/>
Address	<hr/> <hr/>
Postcode	<hr/>
Country	<hr/>
Telephone	<hr/>
Fax	<hr/>

### 2c - Related party information. Please include full contact details for all contacts that should receive copies of investor correspondence.

Name	<hr/>
Address	<hr/> <hr/>
Postcode	<hr/>
Country	<hr/>
Country of residence for tax purposes	<hr/>
Telephone	<hr/>
Fax	<hr/>

## 2. To be completed by Corporate Investors only (continued)

### 2d - Client Reporting

Please note that it is our preference to send regular client reporting by email. Kindly confirm the appropriate details below.

Reporting category	Email Address(es)
Trade confirmations	<div></div> <div></div> <div></div>
Monthly statements	<div></div> <div></div> <div></div>
Dividend statements	<div></div> <div></div> <div></div>

If, however, you would prefer to be sent these by post then please indicate below:

Please send to the correspondence address detailed above ☐

Please send to both the correspondence address and Related Party address detailed above ☐

### 3a. Application

I/We hereby irrevocably apply for.

Please leave this section blank, if the account setup is to accommodate a transfer of existing business, and you are not making any additional investments.

Name of Fund	Currency					Shares
	Amount in USD	Amount in GBP	Amount in EUR	Amount in CHF	Amount in SEK	Number of Shares
<b>Aegon Absolute Return Bond Fund</b>						
Class B (Accumulating Shares)						
Class B (Income Shares)						
Class C (Accumulating Shares)						
Hedged Class C (Accumulating Shares)						

<b>Aegon Global Diversified Income Fund</b>						
Class A (Income Shares)						
Hedged Class A (Income Shares)						
Class A (Accumulating Shares)						
Hedged Class A (Accumulating Shares)						
Class B (Accumulating Shares)						
Class B (Income Shares)						
Hedged Class B (Income Shares)						
Class C (Accumulating Shares)						
Class C (Income Shares)						
Hedged Class C (Income Shares)						

### 3a. Application (continued)

Name of Fund	Currency					Shares
	Amount in USD	Amount in GBP	Amount in EUR	Amount in CHF	Amount in SEK	Number of Shares

Aegon Global Equity Income Fund						
Class A (Income Shares)						
Class B (Income Shares)						
Class B (Accumulating Shares)						
Hedged Class B (Accumulating Shares)						
Class C (Income Shares)						
Class D (Accumulating Shares)						
Class G (Accumulating Shares)						
Class S (Income Shares)						
Class X (Accumulating Shares)						

Aegon Global Sustainable Equity Fund						
Class A (Accumulating Shares)						
Class B (Accumulating Shares)						
Class B (Income Shares)						
Class C (Accumulating Shares)						
Class D (Accumulating Shares)						
Class G (Accumulating Shares)						
Class X (Accumulating Shares)						



### 3a. Application (continued)

Name of Fund	Currency						Shares
	Amount in USD	Amount in GBP	Amount in EUR	Amount in CHF	Amount in SEK	Amount in NOK	Number of Shares

Aegon High Yield Global Bond Fund							
Class A (Income Shares)							
Hedged Class A (Income Shares)							
Class A (Accumulating Shares)							
Hedged Class A (Accumulating Shares)							
Class B (Income Shares)							
Hedged Class B (Income Shares)							
Hedged Class B (Accumulating Shares)							
Class D (Accumulating Shares)							
Class G (Accumulating Shares)							
Hedged Class J (Income Shares)							
Hedged Class J (Accumulating Shares)							
Hedged Class S (Income Shares)							
Class X (Accumulating Shares)							

Aegon Investment Grade Global Bond Fund							
Hedged Class A (Income Shares)							
Class A (Accumulating Shares)							
Hedged Class A (Accumulating Shares)							
Class B (Income Shares)							
Hedged Class B (Accumulating Shares)							
Class D (Accumulating Shares)							
Class G (Accumulating Shares)							
Class S (Income Shares)							
Hedged Class S (Income Shares)							
Hedged Class S (Accumulating Shares)							
Class X (Accumulating Shares)							

### 3a. Application (continued)

Name of Fund	Currency						Shares
	Amount in USD	Amount in GBP	Amount in EUR	Amount in CHF	Amount in SEK	Amount in NOK	Number of Shares

Aegon Global Short Dated High Yield Climate Transition Fund							
Hedged Class A (Income Shares)							
Hedged Class A (Accumulating Shares)							
Class B (Income Shares)							
Hedged Class B (Income Shares)							
Class B (Accumulating Shares)							
Hedged Class B (Accumulating Shares)							
Class C (Income Shares)							
Hedged Class C (Income Shares)							
Class C (Accumulating Shares)							
Hedged Class C (Accumulating Shares)							

Aegon Global Short Dated Climate Transition Fund							
Class S (Income Shares)							
Class S (Accumulating Shares)							

Aegon Strategic Global Bond Fund							
Hedged Class A (Income Shares)							
Class A (Accumulating Shares)							
Hedged Class A (Accumulating Shares)							
Class B (Income Shares)							
Hedged Class B (Income Shares)							
Class B (Accumulating Shares)							
Hedged Class B (Accumulating Shares)							
Class D (Accumulating Shares)							
Class G (Accumulating Shares)							
Hedged Class S (Income Shares)							
Class X (Accumulating Shares)							

### 3b. Application

The Directors intend to declare dividends for the Income Share classes on a quarterly basis on the last Business Days of January, April, July and October. Dividends will be paid within two months of the relevant declaration date. Shareholders of the Income Share classes can opt to receive payment of dividends declared by ticking the box below. Shareholders of the Income Share classes who do not tick the payment of dividends box below will have their dividends reinvested on their behalf.

☐ I/We wish to receive payment of dividends declared in respect of the Income Share class. Please tick box and enter bank details in Section 4.

Please remit the subscription monies (in US Dollars) by Electronic Funds Transfer (EFT) to:

<b>Currency</b>	USD
<b>Pay through Bank</b>	Citibank, New York
<b>SWIFT</b>	CITIUS33
<b>Beneficiary Bank</b>	Citibank, London
<b>SWIFT</b>	CITIGB2L
<b>IBAN</b>	GB22CITI18500811858068
<b>In favour of</b>	Aegon Asset Management Investment Company (Ireland) Plc TA a/c USD
<b>Account Number</b>	11858068

Please remit the subscription monies (in Euros) by Electronic Funds Transfer (EFT) to:

<b>Currency</b>	EUR
<b>Pay through Bank</b>	Citibank Europe Plc, Dublin
<b>SWIFT</b>	CITIE2X
<b>Beneficiary Bank</b>	Citibank, London
<b>SWIFT</b>	CITIGB2L
<b>IBAN</b>	GB64CITI18500811857991
<b>In favour of</b>	Aegon Asset Management Investment Company (Ireland) Plc TA a/c EUR
<b>Account Number</b>	11857991

Please remit the subscription monies (in GBPE) by Electronic Funds Transfer (EFT) to:

<b>Currency</b>	GBP
<b>Pay through Bank</b>	Citibank, London
<b>SWIFT</b>	CITIGB2L
<b>Beneficiary Bank</b>	Citibank, London
<b>SWIFT</b>	CITIGB2L
<b>IBAN</b>	GB41CITI18500811858017
<b>In favour of</b>	Aegon Asset Management Investment Company (Ireland) Plc TA a/c GBP
<b>Account Number</b>	11858017

Please remit the subscription monies (in Swiss Franc) by Electronic Funds Transfer (EFT) to:

<b>Currency</b>	CHF
<b>Beneficiary Bank</b>	Citibank, London
<b>SWIFT</b>	CITIGB2L
<b>IBAN</b>	GB34CITI18500812837358
<b>In favour of</b>	Aegon Asset Management Investment Company (Ireland) Plc TA a/c CHF
<b>Account Number</b>	12837358

### 3b. Application continued

Please remit the subscription monies (in Singapore Dollars) by Electronic Funds Transfer (EFT) to:

<b>Currency</b>	SGD
<b>Pay through Bank</b>	Citibank, Singapore
<b>SWIFT</b>	CITISGSG
<b>Beneficiary Bank</b>	Citibank, London
<b>SWIFT</b>	CITIGB2L
<b>IBAN</b>	GB66CITI18500813521117
<b>In favour of</b>	Aegon Asset Management Investment Company (Ireland) Plc TA a/c SGD
<b>Account Number</b>	13521117

Please remit the subscription monies (in Swedish Krona) by Electronic Funds Transfer (EFT) to:

<b>Currency</b>	SEK
<b>Beneficiary Bank</b>	Citibank, London
<b>SWIFT</b>	CITIGB2L
<b>IBAN</b>	GB25CITI18500817064586
<b>In favour of</b>	Aegon Asset Management Investment Company (Ireland) Plc TA a/c SEK
<b>Account Number</b>	17064586

Please remit the subscription monies (in Norwegian Krona) by Electronic Funds Transfer (EFT) to:

<b>Currency</b>	NOK
<b>Beneficiary Bank</b>	Citibank, London
<b>SWIFT</b>	CITIGB2L
<b>IBAN</b>	GB25CITI18500817064586
<b>In favour of</b>	Aegon Asset Management Investment Company (Ireland) Plc TA a/c NOK
<b>Account Number</b>	12364522

Please remit the subscription monies (in Japanese Yen) by Electronic Funds Transfer (EFT) to:

<b>Currency</b>	JPY
<b>Pay through Bank</b>	Citibank, Tokyo
<b>SWIFT</b>	CITIJPJT
<b>Beneficiary Bank</b>	Citibank, London
<b>SWIFT</b>	CITIGB2L
<b>IBAN</b>	GB48CITI18500812772310
<b>In favour of</b>	Aegon Asset Management Investment Company (Ireland) Plc TA a/c JPY
<b>Account Number</b>	12772310

Cheques will be accepted as a means for payment on an exceptional basis only. Please contact the Transfer Agent for further instructions.

When paying by cheque/bankers draft, your application will not be processed and shares will not be issued until the cheque/bankers draft has cleared.

#### 4. Bank details for redemption/dividend payments

Please note that any changes to your bank account details must be provided in writing.

Payments will only be made in the currency of the relevant share class and only to a bank.

Please note that redemption proceeds will not be paid on non-cleared/verified accounts.

No redemption payment may be made until the original application form has been received from the investor and the Administrator is satisfied that all of the necessary anti-money laundering checks have been completed.

Until further notice, funds will be wired to the Shareholders as follows:

	Redemption account	Dividend Payment account if different
<b>Currency</b>	GBP/EUR/CHF/SGD/USD/SEK/JPY*	GBP/EUR/CHF/SGD/USD/SEK/JPY*
<b>Bank name</b>		
<b>Swift / BIC Code or ABA number</b>		
<b>IBAN**</b>		
<b>Bank Address</b>		
<b>Account Name</b>		
<b>Pay Through Details***</b>		
<b>Bank name</b>		
<b>Swift / BIC Code or ABA number</b>		

\* Delete as appropriate.

\*\*This is a mandatory Single European Payments Area (SEPA) requirement as per EU regulation 260/2012.

\*\*\* Pay through bank details are required when the currency being wired is not the local currency of the destination country e.g. USD being paid to the UK must be routed through a bank based in the USA and then onward to the UK bank. Please note that no payments shall be released unless these details are provided for affected payments and any changes to these bank account details must be provided in writing.

**Note:** Please contact your bank if you do not know your SWIFT/BIC code, ABA number (US equivalent to SWIFT) or pay-through bank.

#### 5. Financial Adviser details

If you are contracted with the Fund via a Financial Adviser/Agent, please ensure your Financial Adviser/Agent stamps this box.

Financial Adviser's use only	IFA Stamp (if applicable)
Agent Code (office use only)	
Confident Authority Registration Number	
VAT Registration Number (UK) if applicable	

## 6a. Declarations

1. I/We hereby acknowledge that I/we have received and read the current Prospectus relating to the Company and the current Supplement and the Key Investor Information (KII) relating to the Fund and that this application is made on the terms of the Supplement and Prospectus.
2. I/we hereby acknowledge as a non direct investor I/we will always ensure I/we have access to and have reviewed the Key Investor Information (KII), or requested that a copy is sent prior to investing, or where I/we act on behalf of underlying clients, I/we accept it is our responsibility to ensure the client has received and reviewed the Key Investor Information (KII) prior to investing.
3. I/We hereby certify that I am/we are not a US Person<sup>1</sup> and that the Shares hereby applied for are not being acquired directly or indirectly by or on behalf of, or for the account of, a US Person, an 'employee benefit plan' (as defined in Section 3(3) of ERISA) or a 'plan' as defined in Section 4975(e) (1) of the U.S. Internal Revenue Code 1986. I/We further confirm that I/we will notify the Administrator in the event that I/we become a US Person or hold the Shares on behalf of, or for the account or benefit of, a US Person.  
  
1 A "US Person" means (a) Any natural person resident in the United States; (b) Any partnership or corporation organized or incorporated under the laws of the United States; (c) Any estate of which any executor or administrator is a U.S. person; (d) Any trust of which any trustee is a U.S. person; (e) Any agency or branch of a foreign entity located in the United States; (f) Any nondiscretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary for the benefit or account of a U.S. person; (g) Any discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary organized, incorporated, or (if an individual) resident in the United States; and (h) Any partnership or corporation if: (i) Organized or incorporated under the laws of any foreign jurisdiction; and (ii) Formed by a U.S. person principally for the purpose of investing in securities not registered under the Act, unless it is organized or incorporated, and owned, by accredited investors (as defined in Rule 501(a)) who are not natural persons, estates or trusts.
4. I/We hereby declare that the Shares are not being acquired and will not be held in violation of any applicable laws.
5. I/We hereby warrant that I/we have the knowledge, expertise and experience in financial matters to evaluate the risks of investing in the Fund, am/are aware of the risks inherent in investing in the assets in which the Fund will invest and the method by which these assets will be held and/or traded, and can bear the loss of my/our entire investment in the Fund. I/We am/are not purchasing Shares (i) as a result of or subsequent to becoming aware of any advertisement, article, notice or other communication published in any newspaper, magazine or similar medium or broadcast over television or radio; (ii) as a result of or subsequent to attendance at a seminar or meeting called by any of the means set forth in (i); or (iii) as a result of or subsequent to any solicitation by a person not previously known to me/us in connection with investments in securities generally.
6. I/We understand that no certificates will be issued by the Fund in respect of any Shares.
7. I/We agree not to duplicate or to furnish particulars of the Prospectus, Key Investor Information (KII) or Supplement, or to divulge any of their contents, to any person other than my/our investment, legal or tax advisors (who may use the information contained in the Prospectus, Key Investor Information (KII) and Supplement solely for purposes relating to my/our investment in the Fund).
8. I/We hereby confirm that I/we shall be deemed to make, on a continuing basis, each of the statements contained herein unless I/we notify you to the contrary in relation to any Shares I/we may hold or obtain at any time.
9. I/We hereby agree to indemnify and hold harmless the Company, the Fund, the Investment Manager, the Distributor, the Administrator, the Custodian and the Shareholders against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly, from any misrepresentation or breach of any warranty, condition, covenant or agreement contained herein or in any other document delivered by the undersigned to the Fund.
10. I/We hereby acknowledge that all/part of the fees and expenses will be charged to the capital of the Fund(s). This will have the effect of lowering the capital value of my/our investment in the Fund(s). In particular, I/we hereby acknowledge that in respect of the Aegon High Yield Global Bond Fund, Aegon Global Equity Income Fund and Aegon Global Diversified Income Fund, investment management fees will be charged to the capital of each Fund. The capital value of my/our investment may be lowered and income may be achieved by forgoing the potential for future capital growth, and on redemption of holdings the amount that I/we would receive back may be lower than the full amount I/we invested.
11. I/We hereby confirm that the Company, the Administrator and any distributors appointed to the Company are each authorised and instructed to accept and execute any instructions (including those instructions received by facsimile or telephone or other means agreed with the Company) in respect of the Shares given by us/me or by our/my authorised person(s), if any. We/I acknowledge that (i) the Company, the Administrator or any distributors will not be responsible nor liable for the authenticity of instructions received from us/me or from any such authorised person(s); and (ii) the Company, the Administrator and any distributor may rely upon any instruction from any such person(s) representing himself/ herself to be our/my duly authorised person(s) reasonably believed by the Company, the Administrator or any distributors to be genuine.

## 6a. Declarations (continued)

### 12. Electronic communications

I/We hereby acknowledge that any notice or document may be served by the Company or Administrator on me/us in the manner specified from time to time in the Prospectus and/or Supplement and, for the purposes of the Electronic Commerce Act 2000 and the Companies Act 2014, if I/we have provided an email address or fax number to the Company or its delegate, consent to any such notice or document being sent to me/us by fax or electronically to the fax number or email address previously identified to the Company or its delegate which I/we acknowledge constitutes effective receipt by me/us of the relevant notice or document. I/We acknowledge that I/we am/are not obliged to accept electronic communication and may at any time choose to revoke our agreement to receive communications by fax or electronically by notifying the Company in writing at the above address, provided that my/our agreement to receive communications by fax or electronically by notifying the Company in writing at the above address, provided that my/our agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Company of written notice of such revocation.

13. I/We hereby agree to indemnify and hold harmless the Company, the Investment Manager, the Distributor, the Administrator, the Custodian, the Shareholders and respective directors, officers and employees (collectively, "Indemnified Parties") against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may arise directly or indirectly

(a) as a result of any Indemnified Party acting on instructions, (b) from any misrepresentation by us/me or breach by us/me of any warranty, covenant or agreement set forth herein or in any document delivered to the Indemnified Party by us/me or by any authorised person, and/or (c) which may result from our/my holdings of Shares in the Company which brings the Company into conflict with any requirement of any local regulatory authority or the Company incurring any liability to taxation or breaching any law or regulation of any local regulatory or taxation authority.

## 6b. Additional Declarations

Delete if not applicable

14. (For non Irish residents) I/We hereby declare that I/we am/are not an Irish resident and have completed the Declaration of residence outside the Republic of Ireland form. (see Appendix 1).

15. (Natural persons only) I confirm that I have reached the age of majority under the laws of my country of nationality or domicile.

16. (Natural persons only) I hereby confirm that in purchasing the Shares of the Fund I am acting in the course of a business carried on by me and that the rights and obligations arising from this contract do not pass to another natural person who is acting otherwise than in the course of a business carried on by that natural person.

17. (Corporate applicants only) We hereby confirm that we have the full right and power to make this application and invest in Shares and all necessary corporate action has been taken to authorise this application and such investment.

## 7. Anti-Money Laundering Declarations

(Please refer to Guide to the Documents Required for Compliance with Laws Related to the Prevention of Money-Laundering)

18. (Banks and Brokers) We are a bank or broker and are making this application on behalf of clients for investment purposes. We hereby make each of the declarations in Section 6a above and Section 7 below on behalf of such clients. I/we understand and acknowledge that the representations, warranties and agreements made herein are made by me/us (a) with respect to myself/ourselves and (b) with respect to our clients.

19. I/We hereby acknowledge that the Administrator / Investment Manager / Distributor may in carrying out such checks conduct electronic searches of information and access information in accordance with the Data Protection Acts 1988 to 2003 of Ireland. Ultimate beneficial owners (within the meaning of the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010 - 2018) must be identified in the appropriate section on page 4 of this application form. We hereby acknowledge that the Administrator / Investment Manager / Distributor reserves the right to request further information to identify other beneficial owners if required. Any changes to the identity and/or details of beneficial owners must be notified to the Administrator / Investment Manager / Distributor as soon as possible. We hereby acknowledge that the Company/Aegon Asset Management UK plc reserves the right to refuse any application to invest if, in its reasonable opinion, it does not obtain sufficient evidence to confirm the identity of investors, including beneficial owners. We confirm our understanding of and consent to the terms set out in this paragraph. We confirm that we will provide such documentation as may reasonably be required by Aegon Asset Management UK plc for reasons of compliance with applicable law or regulation, including without limitation the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010 – 2018 and the Criminal Justice Act 2013.

Please complete one of the sub-sections 20, 21, 22 or 23 below.

Please then delete those sub-sections which are not applicable.

20. I/We declare that I/we am/are existing shareholder(s) in one or more of the funds of the Company and that my/our Aegon Asset Management Account Number is detailed on page 1.

Further, I/we confirm that I/we have previously furnished in connection with my/our investment in such funds all necessary documentation and evidence with respect to the verification of my/our identity as is required by subsections 21-23 below as applicable.

21. (Designated Bodies<sup>2</sup> only) I/We declare that I am/we are licensed as  (description)  
by the  (regulatory body)  
under the laws of  (country)  
and am/are thereby subject to regulations and/or guidelines which to the best of my/our knowledge and understanding are in accordance with the Financial Action Task Force. Recommendations on the prevention of money-laundering

and that this application is made in my/our name on behalf of my/our clients whose identity has been properly verified by me/us in accordance with the guidelines.

2 A Designated Body is an individual or other entity which is regulated in respect of the provision of banking or investment services in a country which is a member of the European Union or the Financial Action Task Force.

22. (Natural persons only) I/We declare that I am/we are private investor(s) who is/are making this application on my/our own behalf and not in any way as representative(s) of any other party.

23. (To be completed by ALL corporate applicants) We hereby declare that the corporation was duly registered on  (date) under the laws of  (country) and that it is not a financial intermediary. We further declare that the following persons are all the Directors of the corporation:

(1) Name

Residential Address

Business Address

Occupation

Date of Birth

(2) Name

Residential Address

Business Address

Occupation

Date of Birth

(3) Name

Residential Address

Business Address

Occupation

Date of Birth

(4) Name

Residential Address

Business Address

Occupation

Date of Birth



## 7. Anti-Money Laundering Declarations (continued)

Additional Directors' details should be supplied on a separate sheet of paper. We agree to notify the Administrator of any change in the Directors.

The Administrator may also, without limitation seek confirmation of Shareholders and require additional information and/or documentation relating to the Directors.

**This application will not be accepted and Shares will not be issued unless one of sub-sections 20, 21, 22 or 23 has been completed and until all information and documentation has been received by the Administrator to its satisfaction. A full list of anti-money laundering documentation is set out in the 'Documentation required from you for Anti Money Laundering obligations'.**

24. I/We understand and agree that the Company prohibits the investment of funds by any persons or entities that are acting, directly or indirectly, (i) in contravention of any applicable laws and regulations, including anti-money laundering regulations or conventions, (ii) on behalf of terrorists or terrorist organisations, including those persons or entities that are included on the List of Specially Designated Nationals and Blocked Persons maintained by the U.S. Treasury Department's Office of Foreign Assets Control ("OFAC"), as such list may be amended from time to time, (iii) for a senior foreign political figure,<sup>3</sup> any member of a senior foreign political figure's immediate family<sup>4</sup> or any close associate of a senior foreign political figure,<sup>5</sup> (iv) for a person or entity resident in or whose subscription funds are transferred from or through a jurisdiction identified as noncooperative by the Financial Action Task Force or (v) for a foreign shell bank (such persons or entities in (i) - (v) are collectively referred to as "Prohibited Persons").

25. I/We represent, warrant and covenant that: (i) I/we am/are not, nor is any person or entity controlling, controlled by or under common control with me/us, a Prohibited Person, and (ii) to the extent I/we have any beneficial owners: (a) I/we have carried out thorough due diligence to establish the identities of such beneficial owners, (b) based on such due diligence, I/we reasonably believe that no such beneficial owners are Prohibited Persons, (c) I/we hold the evidence of such identities and status and will maintain all such evidence for at least five years from the date of my/our complete redemption from the Sub-Fund, (d) I/we will make available such information and any additional information that the Sub-Fund may require upon request, and (e) I/we agree that the declarations made in this Section 7 are made by me/us on behalf of and with respect to both me/us and all beneficial owners.

26. If any of the foregoing representations, warranties or covenants ceases to be true or if the Fund no longer reasonably believes that it has satisfactory evidence as to their truth, notwithstanding any other agreement to the contrary, the Fund may be obligated to freeze my/our investment, either by prohibiting additional investments, declining or suspending any redemption requests and/or segregating the assets constituting the investment in accordance with applicable regulations, or my/our investment may immediately be redeemed by the Fund, and the Fund may also be required to report such action and to disclose my/our identity to OFAC or other authority. In the event that the Fund is required to take any of the foregoing actions, I/we understand and agree that I/we shall have no claim against the Fund, the Investment Manager, the Administrator, and their respective affiliates, members, partners, shareholders, officers, employees and agents for any form of damages as a result of any of the aforementioned actions.

3 A "senior foreign political figure" is defined as a senior official in the executive, legislative, administrative, military or judicial branches of a foreign government (whether elected or not), a senior official of a major foreign political party, or a senior executive of a foreign government-owned corporation. In addition, a "senior foreign political figure" includes any corporation, business or other entity that has been formed by, or for the benefit of, a senior foreign political figure.

4 "Immediate family" of a senior foreign political figure typically includes the figure's parents, siblings, spouse, children and in-laws.

5 A "close associate" of a senior foreign political figure is a person who is widely and publicly known to maintain an unusually close relationship with the senior foreign political figure, and includes a person who is in a position to conduct substantial domestic and international financial transactions on behalf of the senior foreign political figure.

## 8. Individual Self-Certification for Foreign Account Tax Compliance Act (FATCA) and OECD Common Reporting Standard (CRS)

### Instructions for completion

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be obliged to share this information, and other financial information with respect to an investor's interests in the Fund, with relevant tax authorities (who may in turn share any such information with other relevant tax authorities). This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at:

[www.revenue.ie/en/business/aeoi/index.html](http://www.revenue.ie/en/business/aeoi/index.html) or the following link to the OECD CRS Information Portal at:  
[www.oecd.org/tax/automatic-exchange/](http://www.oecd.org/tax/automatic-exchange/) in the case of CRS only.

If any of the information below about your tax residence or FATCA/CRS classification changes in the future, please ensure you advise us of these changes promptly.

Please note that where there are joint account holders each investor is required to complete a separate Self-Certification form.

Where this form is completed by an individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution, references in this form to 'investor' (other than in section 4) should be read as references to that individual.

### 1. Investor identification

Investor name \_\_\_\_\_

Fund name \_\_\_\_\_

Residential address \_\_\_\_\_

Postcode \_\_\_\_\_

Mailing address \_\_\_\_\_

(if different from above)

Postcode \_\_\_\_\_

Town or city of birth \_\_\_\_\_

Country of birth \_\_\_\_\_

Date of birth \_\_\_\_\_

### 2. FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes

Please tick either a or b and complete as appropriate.

a. I confirm that I **am** a U.S. citizen and/or resident in the U.S. for tax purposes and my U.S. federal taxpayer identifying number (U.S. TIN) is as follows: \_\_\_\_\_ ☐

OR

b. I confirm that I **am not** a U.S. citizen or resident in the U.S. for tax purposes ☐

## 8. Individual Self-Certification for Foreign Account Tax Compliance Act (FATCA) and OECD Common Reporting Standard (CRS) (continued)

### 3. CRS Declaration of Tax Residency (please note you may choose more than one country)

Please indicate the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers ("TIN")).

#### Tax ID Number

Country of Tax Residency	Tax ID Number

**Note:** Provision of a TIN is required unless you are tax resident in a jurisdiction that does not issue a TIN.

### 4. Type of Controlling Person (ONLY to be completed by an individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution).

For joint or multiple Controlling Persons please use a separate form for each Controlling Person.

Please Confirm the type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box	Please Tick	Entity Name
Controlling Person of a legal person – control by ownership		
Controlling Person of a legal person – control by other means		
Controlling Person of a legal person – senior managing official		
Controlling Person of a trust – settlor		
Controlling Person of a trust – trustee		
Controlling Person of a trust – protector		
Controlling Person of a trust – beneficiary		
Controlling Person of a trust – other		
Controlling Person of a legal arrangement (non-trust) – settlor-equivalent		
Controlling Person of a legal arrangement (non-trust) – trustee-equivalent		
Controlling Person of a legal arrangement (non-trust) – protector-equivalent		
Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent		
Controlling Person of a legal arrangement (non-trust) – other-equivalent		

## 8. Individual Self-Certification for Foreign Account Tax Compliance Act (FATCA) and OECD Common Reporting Standard (CRS) (continued)

### 5. Declaration and Undertakings

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the account holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the account holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

**Signatures** \_\_\_\_\_

**Date** \_\_\_\_\_

## 9. Entity Self-Certification for Foreign Account Tax Compliance Act (FATCA) and OECD Common Reporting Standard (CRS)

### Instructions for completion

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be obliged to share this information, and other financial information with respect to an investor's interests in the Fund, with relevant tax authorities (who may in turn share any such information with other relevant tax authorities). This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at:

[www.revenue.ie/en/business/aeoi/index.html](http://www.revenue.ie/en/business/aeoi/index.html) or the following link to the OECD CRS Information Portal at:

[www.oecd.org/tax/automatic-exchange/](http://www.oecd.org/tax/automatic-exchange/) in the case of CRS only.

If any of the information below about the Investor's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.

**Investors that are individuals should not complete this form and should complete section 8 Individual Self-Certification.**

### 1. Investor identification

Investor name \_\_\_\_\_

Registered address \_\_\_\_\_

Postcode \_\_\_\_\_

Mailing address \_\_\_\_\_

(if different from above)

Postcode \_\_\_\_\_

Country of Incorporation  
or Organisation \_\_\_\_\_

### 2. FATCA Declaration specified U.S. Person

Please tick a, b or c and complete as appropriate.

- a. ☐ The Entity **is** a Specified U.S. Person and the Entity's U.S. Federal Taxpayer Identifying number (US TIN) is as follows:

U.S. TIN: \_\_\_\_\_

- b. ☐ The Entity is **not** a Specified U.S. Person (please also complete Sections 3, 4 and 5).

**OR**

- c. ☐ The Entity is a US Person but not a Specified U.S. Person (please also complete Sections 3, 4 and 5)

## 9. Entity Self-Certification for Foreign Account Tax Compliance Act (FATCA) and OECD Common Reporting Standard (CRS) (continued)

**3. Entity's FATCA Classification (the information provided in this section is for FATCA, please note your classification may differ from your CRS Classification in Section 5)**

### 3.1 Financial Institutions under FATCA

If you are a Financial Institution, please tick one of the below categories, and provide your FATCA GIIN at 3.2.

- I. ☐ Irish Financial Institution or a Partner Jurisdiction Financial Institution
- II. ☐ Registered Deemed Compliant Foreign Financial Institution
- III. ☐ Participating Foreign Financial Institution

**3.2 Please provide your Global Intermediary Identification number (GIIN):** \_\_\_\_\_

### 3.3 If you are a Financial Institution but unable to provide a GIIN, please tick one of the below reasons;

- I. ☐ The Entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN  
Please provide your sponsor's name and sponsor's GIIN :  
Sponsor's Name: \_\_\_\_\_ Sponsor's GIIN: \_\_\_\_\_
- II. ☐ Exempt Beneficial Owner
- III. ☐ Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the Agreement between the Government of Ireland and the Government of the United States of America to improve International Tax Compliance and to implement FATCA)
- IV. ☐ Non-Participating Foreign Financial Institution
- V. ☐ Excepted Foreign Financial Institution

### 3.4 Non-Financial Institutions under FATCA

If the Entity is not a **Financial Institution**, please confirm the Entity's FATCA status below:

- I. ☐ The Entity is an Active Non-Financial Foreign Entity

**OR**

- II. ☐ The Entity is a Passive Non-Financial Foreign Entity  
(If the Entity is a passive Non-Financial Foreign Entity, please include Individual Self-Certification forms for each of your Controlling Persons (available separately via the Aegon Asset Management website at [www.aegonamcom](http://www.aegonamcom)))

**OR**

- III. ☐ The Entity is an Excepted Non-Financial Foreign Entity

## 4. CRS Declaration of Tax Residency (please note that you may choose more than one country)

Please indicate the Entity's country of tax residence for CRS purposes (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TINs")).

If the Entity is not tax resident in any jurisdiction (e.g. because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

### Tax ID Number

Country of Tax Residency	Tax ID Number

**Note:** Provision of a TIN is required unless you are tax resident in a jurisdiction that does not issue a TIN.

## 9. Entity Self-Certification for Foreign Account Tax Compliance Act (FATCA) and OECD Common Reporting Standard (CRS) (continued)

### 5. Entity's CRS Classification (the information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in Section 3):

For more information please see the CRS Standard and associated commentary

[www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314](http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314)

#### 5.1 Financial Institutions under CRS:

If the Entity is a Financial Institution, please tick one of the below categories:

- i. Financial Institution under CRS (other than (ii) below) ☐
- ii. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (If this box is ticked, please complete separate Individual Self-Certification forms for each of your Controlling Persons\*\*) ☐

#### 5.2 Non Financial Institutions under CRS:

If the Entity is a Non Financial Institution, please tick one of the below categories:

- i. Active Non-Financial Entity – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation ☐
- ii. Active Non-Financial Entity – a Government Entity or Central Bank ☐
- iii. Active Non-Financial Entity – an International Organisation ☐
- iv. Active Non-Financial Entity – other than (i)-(iii) (for example a start-up NFE or a non-profit NFE) ☐
- v. Passive Non-Financial Entity (If this box is ticked, please complete separate Individual Self-Certification forms for each of your Controlling Persons\*\*) ☐

#### \*\* Controlling Persons:

Please note that each Controlling Person must complete a separate Individual Self-Certification form. If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on identification requirements under CRS for Controlling Persons, see the Commentary to Section VII of the CRS Standard

[www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314](http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314)

## 6. Declarations and Undertakings

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I/We acknowledge that the information contained in this form and information regarding the account holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the account holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs, which causes any of the information contained in this form to be incorrect.

**Authorised signature(s)**

---

**Print name(s)**

---

**Capacity in which declaration is made**

---

**Date**    \_\_\_\_/\_\_\_\_/\_\_\_\_

## 10. Notes

- Terms defined in the Prospectus and Supplement have the same meaning in this Application Form.
- If the application is sent by facsimile, the original must be sent by post to the Administrator to be received by the Administrator as set out in the Prospectus.
- The minimum initial investment per subscriber for Class A, Class B, Class C Aegon Global Equity Income Fund\* and Class S for Aegon Global Short Dated Climate Transition Fund\*\* is as follows:

Fund name	Amount in base currency
Aegon Investment Grade Global Bond Fund	1,000 (USD)
Aegon Strategic Global Bond Fund	1,000 (USD)
Aegon High Yield Global Bond Fund	1,000 (USD)
Aegon Absolute Return Bond Fund	500 (GBP)
Aegon Global Equity Income Fund	1,000 (USD) 1,000,000 (USD)*
Aegon Global Diversified Income Fund	500,000 (EUR) 10,000,000 (EUR)
Aegon Global Sustainable Equity Fund	500 (EUR) 500,000 (EUR) 250,000,000 (EUR)
Aegon Short Dated High Yield Global Bond Fund	500,000 (USD) 250,000 (USD)
Aegon Global Short Dated Climate Transition Fund	100,000,000 (GBP)**

- The minimum amount of additional subscriptions for Class A, Class B, Class C Aegon Global Equity Income Fund† and Class S Aegon Global Short Dated Climate Transition Fund is as follows:

Fund name	Amount in base currency
Aegon Investment Grade Global Bond Fund	1,000 (USD)
Aegon Strategic Global Bond Fund	1,000 (USD)
Aegon High Yield Global Bond Fund	1,000 (USD)
Aegon Absolute Return Bond Fund	500 (GBP)
Aegon Global Equity Income Fund	1,000 (USD) 20,000 (GBP)†
Aegon Global Diversified Income Fund	500 (EUR) 20,000 (EUR)
Aegon Global Sustainable Equity Fund	500 (EUR) 1,000,000 (EUR)
Aegon Short Dated High Yield Global Bond Fund	1,000 (USD) 10,000 (USD)
Aegon Global Short Dated Climate Transition Fund	10,000,000 (GBP)††

or such lesser amount as the Company may in any particular case determine.

- The Company may extend or shorten the Initial Offer Period at its discretion.

- After the Initial Offer Period Shares are available for subscription at the relevant Subscription Price on each Subscription Day. The Subscription Price will be equal to the Net Asset Value per Share of the relevant Class as at the Valuation Point on the Dealing Day immediately preceding the relevant Subscription Day on which the application is effective and may be subject to a Dilution Adjustment and Preliminary Charge. A subscriber may also be required to pay an additional amount as an equalisation credit.
- Section 3 must be completed for the purposes of paying subscriptions. The bank should also be instructed to fax the Administrator with details of the transfer it is making.
- The Administrator will issue a letter to successful applicants confirming acceptance of their application. Once completed applications have been received by the Administrator, they are irrevocable.
- A Preliminary Charge of up to 5.5 per cent will be payable in respect of a subscription for Shares. Any such fee will be retained for the benefit of the Distributor. The Distributor may, at its sole discretion, waive such subscription fee.
- A Repurchase Charge of 3 per cent of redemption proceeds will be payable on Shares. The Company may, at its sole discretion, waive such redemption fee.
- The Directors reserve the right at their sole discretion to impose an exchange fee of 1.5 per cent of the total repurchase price of Shares in respect of an exchange of Shares held in one class for Shares in another class and only where there have been more than 5 exchanges in a calendar year.
- A Shareholders entitlement to Shares will be evidenced by an entry in the Fund's Register of Shareholders and not by a certificate.
- In the case of corporate shareholders, signatories may be required to produce evidence of authority.
- The Company reserves the right from time to time to resolve to close any Class of Shares to new subscriptions, either for a specified period or until it otherwise determines. During any such period Shares of that Class will not be available for subscription.
- All deals placed by telephone will be verified via a callback to one of the authorised persons detailed in Section 2e. Failure to verify a deal via call-back will result in the deal being considered null and void.
- If you require any information or wish to complain about any aspect of the service you receive, please contact the Administrator at the address detailed on page one of this document.



## 11. Signatures

To be valid, Application Forms must be signed by each applicant. In the case of a partnership/firm applications should be signed by all the partners/proprietors. In the case of a corporation, applications should be executed under seal or signed by a duly authorised signatory provided that a certified copy of the authority authorising the signatory and an authenticated list of signatories accompanies the application. If this application is signed under power of attorney, such power of attorney or a duly certified copy thereof must accompany this Application Form.

Signature of all applicant(s) (natural persons) or duly authorised signatories (corporate applicants) Data Protection

1.	_____	Name	_____	Date (dd/mm/yyyy)	_____
2.	_____	Name	_____	Date (dd/mm/yyyy)	_____
3.	_____	Name	_____	Date (dd/mm/yyyy)	_____
4.	_____	Name	_____	Date (dd/mm/yyyy)	_____

## Data Protection

**Please read this privacy statement carefully to understand our use of your Personal Data.**

Your right to object – you have a right to object to the processing of your Personal Data where that processing is carried out for our legitimate interests.

**In this section the following terms have the following meanings:**

Data Protection Legislation means the EU Data Protection Directive 95/46/EC and the EU Privacy & Electronic Communications Directive 2002/58/EC, any amendments and replacement legislation including the GDPR, European Commission decisions, binding EU and national guidance and all national implementing legislation.

**GDPR** means Regulation (EU) 2016/679 known as the General Data Protection Regulation effective from 25 May 2018.

**Personal Data** means any data relating to a living individual who can be identified directly from that data or indirectly in conjunction with other information.

The Company may hold some or all of the following types of Personal Data in relation to investors and prospective investors (and their officers, employees and beneficial owners); name, address/other contact details (telephone, email address), date/place of birth, gender, tax number, bank details, photographic ID, proofs of address (usually utility bills) as furnished by investors when completing this Application Form or to keep that information up to date. The Company may also obtain further Personal Data on those individuals by way of PEP (Politically Exposed Person) checks, sanctions checks, negative news checks and screening checks. The Company is obliged to verify the Personal Data and carry out ongoing monitoring. Where existing and prospective investors have provided Personal Data in respect of their officers, employees and beneficial owners to the Company, those investors must provide the data protection information contained in this section to them.

In the course of business, the Company will collect, record, store, adapt, transfer and otherwise process Personal Data. The Company is a data controller within the meaning of Data Protection Legislation and will hold any Personal Data provided by or in respect of investors in accordance with Data Protection Legislation.

The Company and/or any of its delegates or service providers (the Administrator, the Depositary, the Investment Manager, the Distributor, Clifton Fund Consulting Limited trading as KB Associates, other companies within Aegon Asset Management and other service providers referred to in the Prospectus or any other fund document) may process prospective investor's and investor's Personal Data (including recording calls) for any one or more of the following purposes and on the following legal bases:

- to operate the Funds, including managing and administering a Shareholder's investment in the relevant Fund on an on-going basis which enables the Company to satisfy its contractual duties and obligations to the investor;
- to comply with any applicable legal, tax or regulatory obligations on the Company or its appointed suppliers, for example, under the Companies Act and anti-money laundering and counter-terrorism and tax legislation and fraud prevention; or
- for any other legitimate business interests of the Company or a third party to whom Personal Data is disclosed, where such interests are not overridden by the interests of the investor, including for statistical analysis, market research purposes, to perform financial and/or regulatory reporting, and to market additional units of the Fund or other investment opportunities from Aegon Asset Management group companies ("Aegon Group") to you. Where the legitimate business interests of the Company or a third party to whom Personal Data is

disclosed is the legal basis for the processing of investors' Personal Data, a balancing test is carried out and the processing only takes place where such legitimate business interests are not overridden by the risks posed to the investors' interests, fundamental rights and freedom. Where the Data Controller or its delegates sends you marketing information, you have a right not to receive such information, and you can request not to receive such information by informing the Fund using the contact information in this Application.

The Company and/or any of its service providers may disclose or transfer Personal Data to delegates and agents and to third parties including advisers, regulatory bodies, taxation authorities, auditors and technology providers for the above purposes. The Company will not keep Personal Data for longer than necessary for the purpose(s) for which it was collected in accordance with any statutory obligations to retain information, including anti-money laundering, counter-terrorism, tax legislation. The Company will take reasonable steps to destroy or erase the data from its systems when they are no longer required. The Company and/or any of its delegates and service providers will not transfer Personal Data to a country outside of the EEA unless the European Commission has determined that that country ensures an adequate level of data protection, or the Company and/or its delegates and service providers have put in place appropriate safeguards.

Where specific processing is based on an investor's consent, that investor has the right to withdraw it at any time. Investors have the right to request access to their Personal Data kept by Company; and the right to rectification or erasure of their data; to restrict or object to processing of their data, and to data portability, subject to any restrictions imposed by Data Protection Legislation and any statutory obligations to retain information including anti money laundering, counter-terrorism and tax legislation.

Where processing is carried out on behalf of the Company, the Company shall engage a data processor, within the meaning of Data Protection Legislation, which implements appropriate technical and organisational security measures to comply with the requirements of Data Protection Legislation and ensures the protection of the rights of investors. The Company will enter into a written contract with the data processor which will set out the data processor's specific mandatory obligations laid down in Data Protection Legislation.

As part of the Company's business and ongoing monitoring, the Company and its appointed processors may from time to time carry out automated decision-making in relation to investors, including, for example, profiling of investors in the context of anti-money laundering reviews, and this may result in an investor being identified to the revenue authorities, law enforcement authorities and to other entities where required by law, and the Company terminating its relationship with the investor.

Investors are required to provide their Personal Data for statutory and contractual purposes. Failure to provide the required Personal Data will result in the Company being unable to permit, process, or release the investor's investment in the Funds and this may result in the Company terminating its relationship with the investor. Investors have a right to lodge a complaint with the Data Protection Authority if they are unhappy with how the Company is handling their Personal Data.

**For access to the Company's data protection policy, please visit the following: [www.aegonam.com/privacy](http://www.aegonam.com/privacy)**

**Any questions about the operation of the Company's data protection policy should be referred in the first instance to [DataProtection@KBAssociates.ie](mailto:DataProtection@KBAssociates.ie).**

## Appendix 1. Declaration of residence outside Ireland

### Declaration on own behalf ☐

I/we\* declare that I am/we are\* applying for the shares on my own/our own behalf/on behalf of a company\* and that I am/we are/the company\* is entitled to the shares in respect of which this declaration is made and that

- I am/we are/the company\* is not currently resident or ordinarily resident in Ireland, and
- should I/we/the company\* become resident in Ireland I will/we will so inform you, in writing, accordingly.

### Declaration for Intermediary and financial advisers ☐

I/we\* declare that I am/we are\* applying for shares on behalf of persons: who will be beneficially entitled to the shares; and, who, to the best of my/our\* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/we\* also declare that:

- unless I/we\* specifically notify you to the contrary at the time of application, all applications for shares made by me/us\* from the date of this application will be made on behalf of such persons; and,
- I/we\* will inform you in writing if I/we\* become aware that any person, on whose behalf I/we\* holds shares, becomes resident in Ireland.

I hereby confirm on my own behalf and/or on behalf of my firm (as applicable) that I/my firm have read, and hereby agree to be bound by, the Aegon Asset Management Intermediary Terms of Business, which are available for download from our website or in hard copy upon request from Aegon Asset Management.

Where the applicant has chosen to invest in Shares which results in the receipt of commission by my firm and/or me, I hereby warrant and represent on my own behalf and/or on behalf of my firm (as applicable) that:

- the receipt of such commission shall be in accordance with all applicable laws and regulations (in particular, without limitation, the FCA Rules, as amended from time to time);
- the necessary disclosures have been made to my or my firm's customers (the applicant (s)) in accordance with all applicable laws and regulations (in particular, without limitation, the FCA Rules, as amended from time to time); and
- the receipt of such commission shall be at all times in accordance with the Aegon Asset Management Intermediary Terms of Business between my firm or myself and Aegon Asset Management UK plc (as amended from time to time).

\*Delete as appropriate

Name

Address

Postcode

Signature of applicant or authorised signatory  
(declarant)

Capacity of authorised signatory

Date

Joint applicants

Name

Signature

Name

Signature

Name

Signature

### Important notes

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
2. To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
3. If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power or attorney, a copy of the power of attorney must be furnished in support of the signature.

## Appendix 2. Resident Entities Composite Declaration (Taxes Consolidation)

It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares/units. Terms used in this declaration are defined in the Prospectus.

- I declare that the information contained in this declaration is true and correct.
- I also declare that I am applying for the shares/units on behalf of the applicant named below who is entitled to the units in respect of which this declaration is made and is a person referred to in Section 739D(6) of the Taxes Consolidation Act, 1997, being a person who is: (please tick as appropriate)
  - ☐ a pension scheme;
  - ☐ a company carrying on life business within the meaning of section 706 TCA 1997;
  - ☐ an investment undertaking;
  - ☐ an investment limited partnership;
  - ☐ a special investment scheme;
  - ☐ a unit trust to which section 731(5)(a) TCA 1997 applies;
  - ☐ a charity being a person referred to in section 739D(6)(f)(i) TCA 1997;
  - ☐ a qualifying management company;
  - ☐ entitled to exemption from income tax and capital gains tax by virtue of section 784A(2) TCA, 1997\*  
(see further requirement for Qualifying Fund Manager below);
  - ☐ a PRSA Administrator;
  - ☐ a credit union within the meaning of section 2 of the Credit Union Act 1997.

### Additional requirements where the declaration is completed on behalf of a Charity

I also declare that at the time of making this declaration, the units in respect of which this declaration is made are held for charitable purposes only and

- form part of the assets of a body of persons or trust treated by the Revenue Commissioners as a body or trust established for charitable purposes only, or
- are, according to the rules or regulations established by statute, charter, decree, deed of trust or will, held for charitable purposes only and are so treated by the Revenue Commissioners.

I undertake that, in the event that the person referred to in paragraph (7) of Schedule 2B TCA 1997 ceases to be a person referred to in Section 739D(6)(f)(i) TCA, 1997, I will, by written notice, bring this fact to the attention of the investment undertaking accordingly.

### Additional requirements where the declaration is completed by a qualifying fund manager / PRSA Administrator

I/we\* also declare that at the time this declaration is made, the units in respect of which this declaration is made

- are assets of \*an approved retirement fund/an approved minimum retirement fund or a PRSA, and
- are managed by the Declarant for the individual named below who is beneficially entitled to the units.

I/we\* undertake that, if the units cease to be assets of \*the approved retirement fund/the approved minimum retirement fund or the PRSA, including a case where the units are transferred to another such fund or account, I/we\* will, by written notice, bring this fact to the attention of the investment undertaking accordingly.

### Additional requirements where the declaration is completed by an Intermediary

I/we\* also declare that I am/we are\* applying for shares/units on behalf of persons who

- to the best of my/our\* knowledge and belief, have beneficial entitlement to each of the shares/units in respect of which this declaration is made, and
- is a person referred to in section 739D(6) TCA 1997.

I/we\* further declare that

- Unless I/we\* specifically notify you to the contrary at the time of application, all applications for shares/units made by me/us\* from the date of this application will be made on behalf of persons referred to in section 739D TCA 1997, and
- I/we\* will inform you in writing if I/we\* become aware that any person ceases to be a person referred to in section 739D(6) TCA 1997.

\* Delete as appropriate

Appendix 2. Resident Entities Composite Declaration (continued)  
(Taxes Consolidation)

Name of applicant	_____
Irish tax reference number of applicant	_____
Authorised signatory (declarant)	_____
Title (Mr/Mrs/Miss/Ms/Other)	_____
Capacity of authorised signatory	_____
Date	____/____/____

**Important notes**

1. This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.
2. Tax reference number in relation to a person has the meaning assigned to it by Section 885 TCA, 1997 in relation to a "specified person" within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager, quote the tax reference number of the beneficial owner of the share/units.
3. In the case of, (i) an exempt pension scheme, the administrator must sign the declaration; (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration; (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. In the case of an approved retirement fund/an approved minimum retirement fund or a PRSA, it must be signed by a qualifying fund manager or PRSA administrator. In the case of an intermediary, the declaration must be signed by the intermediary. In the case of a company, the declaration must be signed by the company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees. In any other case it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. A copy of the power of attorney should be furnished in support of this declaration.

Aegon Asset Management Investment Company (Ireland) plc (AAMICI plc) is an umbrella type open-ended investment company with variable capital, registered in the Republic of Ireland (Company No. 442106) at 70 Sir John Rogerson Quay, Dublin 2. Board of Directors: M Kirby and B Wright (both Ireland), S Donald (UK). AAMICI plc is regulated by the Central Bank of Ireland. Aegon Asset Management UK plc (Company No. SC113505) is the investment manager and promoter for AAMICI plc, registered in Scotland at 3 Lochside Crescent, Edinburgh EH12 9SA and is authorised and regulated by the Financial Conduct Authority.