

# Application form

## Aegon Asset Management Europe (Ireland) ICAV (the Company)

Please complete this form in blue or black ink and **BLOCK CAPITALS**. Capitalised words and phrases are as defined in the Company's prospectus, which is available to read or download from our website at [www.aegonam.com/documents](http://www.aegonam.com/documents)

The completed application form should be sent to the Administrator at the following address:

**Citibank Europe plc**  
1 North Wall Quay  
Dublin 1  
Republic of Ireland

Phone: +353 1 6221900  
Fax: +353 1 6224604

Please note that this application will not be accepted and Shares will not be issued unless this application form has been completed in full and all information and relevant verification documentation received by Aegon Asset Management.

Please confirm this application includes a subsequent trade.

**Yes**, this application includes a trade

☐  
☐

**No**, this application does not include a trade

Valid application forms received by 13:00 GMT on a Dealing Day will result in a deal being placed for the valuation point on the day of receipt. Applications received after 13:00 GMT am will result in a deal being placed for the next valuation period.

If you are an existing client of the Company, please provide your Account Number

Before you sign this form you should read an up-to-date version of the Key Investor Information (KII) for each fund in which you wish to invest. Please contact [AAMAccountManagement@aegon.nl](mailto:AAMAccountManagement@aegon.nl) or telephone your usual client service contact if you require these documents. You can also read or download these documents by visiting our website [www.aegonam.com/nl](http://www.aegonam.com/nl)

## 1. Applicant details

### 1a - Name and address to appear on register

<b>Name of investor</b>	
<b>Registered address</b>	
<b>Postcode</b>	
<b>Country</b>	
<b>Country of residence for tax purposes</b>	
<b>Phone number</b>	
<b>Email address</b>	

**1b - Correspondence address (client)**

Please note that for institutional clients all correspondence is channeled through Aegon Asset Management Account Management. We have pre-populated this information to ensure that the Administrator sends all documentation via Account Management.

<b>Name</b>	
<b>Company</b>	
<b>Address</b>	
<b>Postcode</b>	
<b>Country</b>	
<b>Telephone</b>	
<b>Email</b>	

### 1c - Ultimate Beneficial Ownership

Ultimate beneficial owners are individuals who directly or indirectly hold ownership or control 25 per cent or more of the shares or voting rights in an entity, or otherwise exercise control over the management of the entity.

Please note this section does **not** need to be completed by entities regulated for Anti-Money Laundering purposes in Irish prescribed Jurisdictions referred to in the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010 (Section 31) Order 2012.

For all investments, please tick either A or B as applicable:

**A. There are no ultimate beneficial owners owning 25% or more in the entity making the investment at this time**

**B. The ultimate beneficial owner(s) owning 25% or more in the entity making the investment are known at this time**


If you have ticked B please provide details of ultimate beneficial owner(s) below.

Name	Address	Date of birth	Percentage holding

Please note that we are unable to place a subscription trade until the ultimate beneficial owners have been confirmed.

## 2. Application

### 2a. Your investment

We hereby irrevocably apply for:

	Fund	Share class	ISIN	Shares/ Monetary Amount	Shares/ Amount in words
1					
2					
3					

#### Notes:

- Please leave this section blank if the account set-up is to accommodate a transfer of existing business and you are not making any additional investments.

## 2c. Instructions for payment of subscription funds

Please remit the subscription monies (in AUD) by Electronic Funds Transfer (EFT) to:

Beneficiary Bank	Citibank, London
Beneficiary Bank SWIFT	CITIGB2L
Account Number	14192494
IBAN	GB53CITI18500814192494
Account Name	AAM ICAV AUD
Intermediary Bank	Citibank Ltd.
Intermediary Bank SWIFT	CITIAU2X

## 3. Bank details for redemption/dividend payments

Please note that any changes to your bank account details **must** be provided in writing.

Payments will only be made in the currency of the relevant share class and only to a bank.

Please note that redemption proceeds will not be paid on non-cleared/verified accounts.

Please note that redemption proceeds will not be paid to an account that is not in the applicants name.

**No redemption payment may be made until the original application form has been received from the investor and the Administrator is satisfied that all of the necessary anti-money laundering checks have been completed.**

Until further notice, funds will be wired to the Shareholders as follows:

### Redemption account

Currency	AUD
Bank name	
Swift / BIC Code or ABA number	
IBAN**	
Bank address	
Account name	
Pay through bank details***	
Bank name	
Swift / BIC Code or ABA number	

\* Delete as appropriate. \*\*This is a mandatory Single European Payments Area (SEPA) requirement as per EU regulation 260/2012.

\*\*\* Pay through bank details are required when the currency being wired is not the local currency of the destination country e.g. USD being paid to the UK must be routed through a bank based in the USA and then onward to the UK bank. Please note that no payments shall be released unless these details are provided for affected payments and any changes to these bank account details **must** be provided in writing.

Note: Please contact your bank if you do not know your SWIFT/BIC code, ABA number (US equivalent to SWIFT) or pay-through bank.

## 4. Declarations

1. We hereby acknowledge that we have received and read the current Prospectus relating to the Company (the "Prospectus") and the current Supplement and the Key Investor Information (KII) relating to the Fund(s) (as defined in the Prospectus) and that this application is made on the terms of this Application Form, the Memorandum and Articles of Association of the Company, the most recent KII, Annual and Semi Annual Reports, Supplement and Prospectus of the Company.
2. We hereby acknowledge that we will always ensure we have access to and have reviewed the KII, or requested that a copy is sent prior to investing.
3. We hereby certify that we are not a U.S. Person (as defined in the Prospectus relating to the Company) and that the Shares hereby applied for are not being acquired directly or indirectly by or on behalf of, or for the account of, a U.S. Person, an 'employee benefit plan' (as defined in Section 3(3) of ERISA) or a 'plan' as defined in Section 4975(e) (1) of the U.S. Internal Revenue Code 1986. We further confirm that we will notify the Administrator in the event that we become a US Person or hold the Shares on behalf of, or for the account or benefit of, a US Person.
4. We have completed the declaration of residence outside Ireland in Appendix 1 if applicable and the FATCA Self Certification in Appendix 2.
5. We hereby declare that the Shares are not being acquired and will not be held in violation of any applicable laws.
6. We hereby warrant that we have the knowledge, expertise and experience in financial matters to evaluate the risks of investing in the Fund(s), are aware of the risks inherent in investing in the assets in which the Fund(s) will invest and the method by which these assets will be held and/or traded, and can bear the loss of our entire investment in the Fund(s).
7. We understand that no certificates will be issued by the Company in respect of any Shares.
8. We agree not to duplicate or to furnish particulars of the Prospectus, KII or Supplement, or to divulge any of their contents, to any person other than our investment, legal or tax advisors (who may use the information contained in the Prospectus, KII and Supplement solely for purposes relating to our investment in the Fund(s)).
9. We hereby confirm that we shall be deemed to make, on a continuing basis, each of the statements contained herein unless we notify you to the contrary in relation to any Shares we may hold or obtain at any time.
10. We hereby confirm that the Company, the Administrator and any distributors appointed to the Company are each authorized and instructed to accept and execute any instructions (including those instructions received by facsimile or telephone or other means agreed with the Company) in respect of the Shares given by us or by our authorized person(s), if any. We acknowledge that (i) the Company, the Administrator or any distributors will not be responsible nor liable for the authenticity of instructions received from us or from any such authorized person(s); and (ii) the Company, the Administrator and any distributor may rely upon any instruction from any such person(s) representing himself/ herself to be our duly authorized person(s) reasonably believed by the Company, the Administrator or any distributors to be genuine.
11. We hereby agree to indemnify and hold harmless the Company, the Investment Manager, the Distributor, the Administrator, the Custodian, the Shareholders and respective directors, officers and employees (collectively, "Indemnified Parties") against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may arise directly or indirectly
  - (a) as a result of any Indemnified Party acting on our instructions,
  - (b) from any misrepresentation by us or breach by us of any warranty, covenant or agreement set forth herein or in any document delivered to the Indemnified Party by us or by any authorized person, and/or
  - (c) which may result from our holdings of Shares in the Company which brings any Indemnified Party into conflict with any requirement of any local regulatory authority or the Company incurring any liability to taxation or breaching any law or regulation of any local regulatory or taxation authority.
12. *(For non-Irish residents delete if not applicable)* We hereby declare that we are not an Irish resident and have completed the Declaration of residence outside the Republic of Ireland form. (see Appendix 1).
13. We hereby confirm that we have the full right and power to make this application and invest in Shares and all necessary corporate action has been taken to authorize this application and such investment.

14. We confirm our agreement that any additional investment that we may in future seek to make in the Shares subscribed for in this application form shall be made on the basis of the terms and conditions applicable to applications for Shares at the date of such application.
15. We confirm that we have only relied on the advice of our own professional legal, tax, financial and other appropriate advisers as it sees fit in respect of the tax, legal, regulatory, policy, currency and other economic considerations related to this investment.
16. We understand that the tax disclosure set forth in the Prospectus and the relevant supplements thereto is of a general nature and may not cover the jurisdiction in which we are subject to taxation and that the tax consequences of our purchase of Shares depend on our individual circumstances and that we will consult our tax advisor in this regard. In addition, we hereby agree to provide the Company and/or the Administrator with all relevant tax documentation and certificates (as the case may be) as may be requested from us in a timely fashion. We understand and acknowledge that (i) Shares cannot be sold; (ii) any disposition of the Shares may result in unfavorable tax consequences to us; (iii) in making this investment, we are relying, if at all, solely upon the advice of our personal tax advisor with respect to the tax aspects of an investment in a Fund.
17. We hereby authorize the Company and the Administrator to retain all documentation provided by us in relation to our investment in the Company for such period of time as may be required by Irish law, but for not less than five years after the period of investment has ended.
18. We hereby confirm that we will comply with any law or regulation applicable to this application form, the Company, the Shares or Aegon Asset Management Europe ICAV, as amended, supplemented, re-enacted or replaced from time to time relating to anti-bribery and anti-corruption. We undertake that we will not engage in any activity, practice or conduct which would or would be reasonably likely to constitute an offence under such applicable law or regulation.
19. We hereby acknowledge that all/part of the fees and expenses will be charged to the capital of the Fund(s). This will have the effect of lowering the capital value of our investment in the Fund(s). The capital value of our investment may be lowered and income may be achieved by forgoing the potential for future capital growth, and on redemption of holdings the amount that we would receive back may be lower than the full amount we invested.

## 20. Electronic communications

We hereby acknowledge that any notice or document may be served by the Company or Administrator on us in the manner specified from time to time in the Prospectus and/or Supplement and, for the purposes of the Electronic Commerce Act 2000 and the Companies Act 2014, if we have provided an e-mail address or fax number to the Company or its delegate, consent to any such notice or document being sent to us by fax or electronically to the fax number or e-mail address previously identified to the Company or its delegate which we acknowledge constitutes effective receipt by us of the relevant notice or document. We acknowledge that we are not obliged to accept electronic communication and may at any time choose to revoke our agreement to receive communications by fax or electronically by notifying the Company in writing at the above address, provided that our agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Company of written notice of such revocation.

## 21. Data Protection

**In this section the following terms have the following meanings:**

**Data Protection Legislation** means the EU Data Protection Directive 95/46/EC and the EU Privacy & Electronic Communications Directive 2002/58/EC, any amendments and replacement legislation including the GDPR, European Commission decisions, binding EU and national guidance and all national implementing legislation.

**GDPR** means Regulation (EU) 2016/679 known as the General Data Protection Regulation effective from 25 May 2018.

**Personal Data** means any data relating to a living individual who can be identified directly from that data or indirectly in conjunction with other information.

The Company may hold some or all of the following types of Personal Data in relation to investors and prospective investors (and their officers, employees and beneficial owners); name, address/other contact details (telephone, email address), date/place of birth, gender, tax number, bank details, photographic ID, proofs of address (usually utility bills) as furnished by investors when completing this Application Form or to keep that information up to date. The Company may also obtain further Personal Data on those individuals by way of PEP (Politically Exposed Person) checks, sanctions checks, negative news checks and screening checks. The Company is obliged to verify the Personal Data and carry out ongoing monitoring. Where existing and prospective investors have provided Personal Data in respect of their officers, employees and beneficial owners to the Company, those investors must provide the data protection information contained in this section to them.

In the course of business, the Company will collect, record, store, adapt, transfer and otherwise process Personal Data. The Company is a data controller within the meaning of Data Protection Legislation and will hold any Personal Data provided by or in respect of investors in accordance with Data Protection Legislation.

The Company and/or any of its delegates or service providers (the Administrator, the Depositary, the Investment Manager, the Distributor, Clifton Fund Consulting Limited trading as KB Associates, other companies within Aegon Asset Management and other service providers referred to in the Prospectus or any other fund document) may process prospective investor's and investor's Personal Data (including recording calls) for any one or more of the following purposes and on the following legal bases:

- to operate the Funds, including managing and administering a Shareholder's investment in the relevant Fund on an on-going basis which enables the Company to satisfy its contractual duties and obligations to the investor;
- to comply with any applicable legal, tax or regulatory obligations on the Company or its appointed suppliers, for example, under the Companies Act and anti-money laundering and counter-terrorism and tax legislation and fraud prevention; or
- for any other legitimate business interests of the Company or a third party to whom Personal Data is disclosed, where such interests are not overridden by the interests of the investor, including for statistical analysis, market research purposes, to perform financial and/or regulatory reporting, and to market additional units of the Fund or other investment opportunities from Aegon Asset Management group companies ("Aegon Group") to you. Where the legitimate business interests of the Company or a third party to whom Personal Data is disclosed is the legal basis for the processing of investors' Personal Data, a balancing test is carried out and the processing only takes place where such legitimate business interests are not overridden by the risks posed to the investors' interests, fundamental rights and freedom. Where the Data Controller or its delegates sends you marketing information, you have a right not to receive such information, and you can request not to receive such information by informing the Fund using the contact information in this Application.

The Company and/or any of its service providers may disclose or transfer Personal Data to delegates and agents and to third parties including advisers, regulatory bodies, taxation authorities, auditors and technology providers for the above purposes. The Company will not keep Personal Data for longer than necessary for the purpose(s) for which it was collected in accordance with any statutory obligations to retain information, including anti-money laundering, counter-terrorism, tax legislation. The Company will take reasonable steps to destroy or erase the data from its systems when they are no longer required. The Company and/or any of its delegates and service providers will not transfer Personal Data to a country outside of the EEA unless the European Commission has determined that that country ensures an adequate level of data protection, or the Company and/or its delegates and service providers have put in place appropriate safeguards.

Where specific processing is based on an investor's consent, that investor has the right to withdraw it at any time. Investors have the right to request access to their Personal Data kept by Company; and the right to rectification or erasure of their data; to restrict or object to processing of their data, and to data portability, subject to any restrictions imposed by Data Protection Legislation and any statutory obligations to retain information including anti money laundering, counter-terrorism and tax legislation.

Where processing is carried out on behalf of the Company, the Company shall engage a data processor, within the meaning of Data Protection Legislation, which implements appropriate technical and organisational security measures to comply with the requirements of Data Protection Legislation and ensures the protection of the rights of investors. The Company will enter into a written contract with the data processor which will set out the data processor's specific mandatory obligations laid down in Data Protection Legislation.

As part of the Company's business and ongoing monitoring, the Company and its appointed processors may from time to time carry out automated decision-making in relation to investors, including, for example, profiling of investors in the context of anti-money laundering reviews, and this may result in an investor being identified to the revenue authorities, law enforcement authorities and to other entities where required by law, and the Company terminating its relationship with the

investor.

Investors are required to provide their Personal Data for statutory and contractual purposes. Failure to provide the required Personal Data will result in the Company being unable to permit, process, or release the investor's investment in the Funds and this may result in the Company terminating its relationship with the investor. Investors have a right to lodge a complaint with the Data Protection Authority if they are unhappy with how the Company is handling their Personal Data.

For access to the Company's data protection policy, please visit the following:

Any questions about the operation of the Company's data protection policy should be referred in the first instance to [DataProtection@KBAssociates.ie](mailto:DataProtection@KBAssociates.ie).

#### Anti-Money Laundering declarations

We hereby acknowledge that verification of our identity is required in order to comply with anti-money laundering legislation. We hereby acknowledge that the Administrator and Aegon Asset Management Europe ICAV shall be held harmless against any loss arising as a result of a failure to process our application for Shares if such information and documentation as has been requested by the Administrator / Investment Manager / Distributor has not been provided by us. We hereby acknowledge that the Administrator / Investment Manager / Distributor may in carrying out such checks conduct electronic searches of information and access information in accordance with the Data Protection Acts 1988 to 2003 of Ireland. Ultimate beneficial owners (within the meaning of the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010) must be identified at section 1d of this application form. We hereby acknowledge that the Administrator / Investment Manager / Distributor reserves the right to request further information to identify other beneficial owners if required. Any changes to the identity and/or details of beneficial owners must be notified to the Administrator / Investment Manager / Distributor as soon as possible. We hereby acknowledge that the Company/Aegon Asset Management Europe ICAV reserves the right to refuse any application to invest if, in its reasonable opinion, it does not obtain sufficient evidence to confirm the identity of investors, including beneficial owners. We confirm our understanding of and consent to the terms set out in this paragraph. We confirm that we will provide such documentation as may reasonably be required by Aegon Asset Management Europe ICAV for reasons of compliance with applicable law or regulation, including without limitation the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010 and the Criminal Justice Act 2013.

**Please also refer to the additional declarations in the appendices relating to:**

- 1. Declaration of residence outside Ireland**
- 2. FATCA self-certification**
- 3. CRS reporting**



### 5. Signatures

To be valid, this application form must be executed under seal or signed by a duly authorized signatory provided that a certified copy of the authority authorizing the signatory and an authenticated list of authorized signatories accompanies the application form. If this application form is signed under power of attorney, such power of attorney or a duly certified copy thereof must accompany this application form.

Signature of all duly authorized signatories:

1.	_____	<b>Name</b>	_____	<b>Date</b>	_____
2.	_____	<b>Name</b>	_____	<b>Date</b>	_____
3.	_____	<b>Name</b>	_____	<b>Date</b>	_____
4.	_____	<b>Name</b>	_____	<b>Date</b>	_____

## APPENDIX 1: DECLARATION OF RESIDENCE OUTSIDE IRELAND

### (Non-resident composite declaration)

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares/units. Terms used in this declaration are defined in the Prospectus.

We declare that we are applying for the shares on behalf of a company and that the company is entitled to the shares in respect of which this declaration is made and that:

- The company is not currently resident or ordinarily resident in Ireland; and
- Should the company fund become resident in Ireland we will so inform you, in writing, accordingly.

<b>Name</b>	
<b>Address</b>	
<b>Postcode</b>	
<b>Country</b>	
<b>Signature of applicant or authorised signatory (declarant)</b>	
<b>Capacity of authorised signatory</b>	
<b>Date</b>	

### Important notes:

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
2. To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
3. If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power or attorney, a copy of the power of attorney must be furnished in support of the signature.

## APPENDIX 2: FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) SELF CERTIFICATION

### Instructions for completion

We are obliged under Section 891E of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to that section to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be obliged to share this information with relevant tax authorities.

Unless otherwise stated, all terms identified in italics are as defined in the Agreement between the Government of Ireland and the Government of the United States of America to Improve International Tax Compliance and to Implement FATCA (the '**Agreement**'), a copy of which is available on the Irish Revenue website at <http://www.revenue.ie> or at <http://www.revenue.ie/en/business/international/agreement-ireland-usa-compliance-fatca.pdf>.

If any of the information below about the Investor's tax residence or FATCA classification changes in the future, please ensure that we are advised of these changes promptly.

If you have any questions about how to complete this form, please contact your tax advisor.

### Section 1: Investor Identification

<b>Investor name</b>	<b>(the 'Entity')</b>			
<b>Fund name(s)</b>				
<b>Registered address:</b>	<b>Number</b>			
	<b>Street</b>			
	<b>City, town, state, province or county</b>			
	<b>Postal code</b>			
	<b>Country</b>			
<b>Mailing address (if different):</b>	<b>Number</b>			
	<b>Street</b>			
	<b>City, town, state, province or county</b>			
	<b>Postal code</b>			
	<b>Country</b>			
<b>Country of incorporation</b>				

## Section 2: Specified U.S. Person:

Please tick either (a) or (b) below and complete as appropriate.

**a. The Entity is a Specified U.S. Person and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows:**

☐

U.S. TIN: \_\_\_\_\_

**b. The Entity is *not* a Specified U.S. Person (please also complete Sections 3 and 4)**

☐

## Section 3: Declaration of Tax Residency

Declaration of tax residency is requested in the context of the OECD Common Reporting Standard ('CRS'), an initiative to implement automatic exchange of financial account information on a global basis.

Please indicate the Entity's place of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers).

**Tax ID Number**

**Country of Tax Residency**


## Section 4: Entity's FATCA classification

### 4.1 Financial Institutions:

If the Entity is a *Financial Institution*, please tick one of the below categories, and provide the Entity's *GIIN* at 4.2.

**I Irish Financial Institution or a Partner Jurisdiction Financial Institution**

**II. Registered Deemed Compliant Foreign Financial Institution**

**III. Participating Foreign Financial Institution**

☐  
☐  
☐

**4.2 Please provide the Entity's Global Intermediary Identification number (GIIN)** \_\_\_\_\_

**4.3** If the Entity is a *Financial Institution* but unable to provide a *GIIN*, please tick one of the below reasons:

**i. Partner Jurisdiction Financial Institution and has not yet obtained a GIIN**

**ii. The Entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN**

☐  
☐

**Please provide the sponsor's name and sponsor's *GIIN* :**

**Sponsor's Name:** \_\_\_\_\_

**Sponsor's GIIN:** \_\_\_\_\_

- iii. **Exempt Beneficial Owner**
- iv. **Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the Agreement)**
- v. **Non-Participating Foreign Financial Institution**
- vi. **Excepted Foreign Financial Institution**
- vii. **U.S. person but not a Specified U.S. person**


#### 4.4 Non-Financial Institutions:

If the Entity is not a *Financial Institution*, please confirm the Entity's FATCA status below:

- I **The Entity is an Active Non-Financial Foreign Entity; or**
- II. **The Entity is a Passive Non-Financial Foreign Entity; or**
- III. **The Entity is an Excepted Non-Financial Foreign Entity**


Note: If the Entity is a *Passive Non-Financial Foreign Entity*, please provide details of any *Controlling Persons* (whose percentage of ownership is 25% or greater) which are U.S. citizens or resident in the U.S. for tax purposes. The term *Controlling Persons* is to be interpreted in a manner consistent with the recommendations of the Financial Action Task Force.

## Section 5: Declarations and Undertakings

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

**Authorised signature(s)**

**Capacity in which declaration is made**

**Dates(s) (dd/mm/yyyy)**

_____	_____	_____
_____	_____	_____
_____	_____	_____

### APPENDIX 3: CRS Declaration of Tax Residency (please note that you may choose more than one country)\*

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")).

**NOTE:** Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a (TIN).

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

Country of Tax Residency	Tax ID Number

#### Entity's CRS Classification:

For more information please see the CRS Standard and associated commentary.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

#### Financial Institutions under CRS:

If the Entity is a *Financial Institution*, please tick one of the below categories

I.	<i>Financial Institution under CRS (other than (II) below)</i>	<input type="checkbox"/>
II.	<i>An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity and complete a separate individual self-certification forms for each of your Controlling Persons **)</i>	<input type="checkbox"/>

#### Non Financial Institutions under CRS:

If the Entity is a *Non Financial Institution*, please tick one of the below categories

I.	<i>Active Non-Financial Entity</i> – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation	<input type="checkbox"/>
II.	<i>Active Non-Financial Entity</i> – a Government Entity or Central Bank	<input type="checkbox"/>
III.	<i>Active Non-Financial Entity</i> – an International Organisation	<input type="checkbox"/>
IV.	<i>Active Non-Financial Entity</i> – other than (I)-(III) (for example a start-up NFE or a non-profit NFE)	<input type="checkbox"/>
V.	<i>Passive Non-Financial Entity</i> (If this box is ticked, please complete a separate Individual Self-Certification Form for each of your Controlling Person(s) )	<input type="checkbox"/>

**Declarations and Undertakings**

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

**Authorised Signature(s)\*:**

\_\_\_\_\_

**Print Name(s)\*:**

\_\_\_\_\_

**Capacity in which declaration is made\*:**

\_\_\_\_\_

**Date: (dd/mm/yyyy):\*** \_\_\_\_\_

## **Additional regulatory information**

AEGON ASSET MANAGEMENT EUROPE ICAV is an open-ended Irish collective asset management vehicle which is constituted as an umbrella fund with segregated liability between sub-funds and with variable capital on 18 March 2016, registered in the Republic of Ireland (C153036)) at 25-28 North Wall Quay, International Financial Services Centre, Dublin 1. Board of Directors: M Kirby and B Wright (both Ireland) and R Santokhi (NL). AEGON ASSET MANAGEMENT EUROPE ICAV is authorised by the Central Bank of Ireland (No. C153036 ) AEGON Investment Management B.V. is the investment manager and distributor for AEGON ASSET MANAGEMENT B.V., registered in the Netherlands at AEGONplein 50, 2591 TV, Den Haag, The Netherlands , and is authorised and regulated by the Netherlands Authority for Financial Markets.

A Prospectus and a Key Investor Information Document for each sub-fund is issued by AEGON ASSET MANAGEMENT EUROPE ICAV and available on the website ([www.aegonassetmanagement.com/nl](http://www.aegonassetmanagement.com/nl))

Income may fluctuate in accordance with market conditions and taxation arrangements.

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